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# Quantum polynomial functors

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#### ABSTRACT

We construct a category of quantum polynomial functors which deforms Friedlander and Suslin's category of strict polynomial functors. The main aim of this paper is to develop from first principles the basic structural properties of this category (duality, projective generators, braiding etc.) in analogy with classical strict polynomial functors. We then apply the work of Hashimoto and Hayashi in this context to construct quantum Schur/Weyl functors, and use this to provide new and easy derivations of quantum ( $\operatorname{GL}_m, \operatorname{GL}_n$ ) duality, along with other results in quantum invariant theory. © 2017 Elsevier Inc. All rights reserved.

#### 1. Introduction

Let k be a commutative ring and choose  $q \in \mathbb{k}^{\times}$ . The category  $\mathcal{P}_q^d$  of quantum polynomial functors of homogeneous degree d consists of functors  $\Gamma_q^d \mathcal{V} \to \mathcal{V}$ , where  $\mathcal{V}$  is the category of finite projective k-modules, and  $\Gamma_q^d \mathcal{V}$  is the category with objects natural numbers and morphisms given by

 $\operatorname{Hom}_{\Gamma^d_{\mathcal{V}}}(m,n) := \operatorname{Hom}_{\mathcal{B}_d}(V_m^{\otimes d}, V_n^{\otimes d}).$ 

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Here  $\mathcal{B}_d$  is the Artin braid group,  $V_m$  denotes the free k-module of rank n and the action of  $\mathcal{B}_d$  on  $V_m^{\otimes d}$  is given in Section 2.2. We think of the category  $\Gamma_q^d \mathcal{V}$  as the category of standard Yang–Baxter spaces  $(V_n, R_n)$  (Section 2.2), and the morphisms can be viewed as degree d regular functions on the quantum Hom-space between standard Yang–Baxter spaces (although, as is usual in quantum algebra, only the regular functions are actually defined).

The purpose of this paper is to develop the basic structure theory of the category  $\mathcal{P}_q^d$ in analogy with Friendlander and Suslin's work [8]. We first need to develop a theory of quantum linear algebra in great generality using Yang–Baxter spaces, and this is undertaken in Section 2.

We define morphisms between Yang–Baxter spaces over an algebra, and provide a universal characterization of quantum Hom-space algebra in Lemma 2.4. From this formalism we can derive many results about quantum Hom-space algebras functorialy. In particular, the dual of the Hom-space between two Yang–Baxter spaces of degree d is identified with certain braid group intertwiners, generalizing a well-known description of q-Schur algebras (Proposition 2.7). We construct the algebra of quantum  $m \times n$  matrix space by specializing this theory to standard Yang–Baxter spaces.

We note that when the Yang–Baxter spaces are equal the quantum Hom space algebras have appeared in [19,14], but in the generality studied here these are new. We further remark that the general formalism of quantum linear algebra we develop builds on the work of Hashimoto–Hayashi [14], but it is not the same. They only consider the quantum Hom-space algebra between the same Yang–Baxter spaces, whereas for us it is crucial to build in morphisms between different Yang–Baxter spaces.

After the basic of quantum multilinear algebra are in place, we set out to develop the theory of quantum polynomial functors. To begin, the functor  $\Gamma_q^{d,m} : \Gamma_q^d \mathcal{V} \to \mathcal{V}$  given by  $n \mapsto \operatorname{Hom}_{\mathcal{B}_d}(V_m^{\otimes d}, V_n^{\otimes d})$  is called the quantum divided power functor. Theorem 4.7 states that  $\Gamma_q^{d,m}$  is a projective generator of  $\mathcal{P}_q^d$  when  $m \geq d$ . This uses a finite generation property for quantum polynomial functors, which we prove in Proposition 4.5.

Theorem 4.7 has several corollaries. It implies for instance that when  $n \ge d$  we have an equivalence

$$\mathcal{P}_q^d \cong \operatorname{mod}(S_q(n,d)),$$

between the category of quantum polynomial functors of degree d and the category of modules over the q-Schur algebra  $S_q(n, d)$  that are finite projective over k. It also allows us to construct functors which represent weight spaces for representation of the quantum general linear group (Corollary 4.10). We note that from this and Proposition 2.7 one can immediately deduce the double centralizer property of Jimbo–Schur Weyl duality (Corollary 4.11).

In fact Theorem 4.7 is also needed to show that the R-matrix of the quantum general linear group are suitably functorial, that is they are natural with respect to morphisms in  $\Gamma_q^d \mathcal{V}$ , and thereby define a braiding on  $\mathcal{P}_q := \bigoplus_{d=0}^{\infty} \mathcal{P}_q^d$  (Theorem 5.2). We emphasize

that these results are elementary consequences of the *definition* of quantum polynomial functors.

In Section 6 we use the extensive work of Hashimoto and Hayashi [14] to define quantum Weyl/Schur functors. We show that these objects are dual to each other in Theorem 6.5, and use them to describe the simple objects in  $\mathcal{P}_q$ . Finally in Section 7 we specialize to the case when k is a field of characteristic zero and q is generic, and give new and simplified proofs of the invariant theory of quantum  $\operatorname{GL}_n$ . We use Theorem 4.7 to give an easy proof of the duality between quantum  $\operatorname{GL}_m$  and  $\operatorname{GL}_n$ . We also formulate and derive the equivalence of this duality to the quantum first fundamental theorem and Jimbo–Schur–Weyl duality.

We remark that quantum  $(GL_m, GL_n)$ -duality is due to Zhang [22] and Phúng [19]. (Zhang also derives Jimbo–Schur–Weyl duality from  $(GL_m, GL_n)$ -duality.) The quantum FFT that we prove first appears in [9] with a much more complicated proof. (Other versions of the quantum FFT appear in [19] and [17].) We remark also that our approach to quantum invariant theory applies to the other settings where a theory of strict polynomial functors has been constructed (cf. Remark 7.4).

Finally, an important problem concerning  $\mathcal{P}_q$  remains open: to define composition of quantum polynomial functors. In Section 8 we discuss obstructions to defining composition in our setting, and speculate on possible generalizations of our constructions that would allow for composition, and thus provide the sought-after quantum plethysm. We hope this paper is a significant step in this program.

This work is inspired by our previous works [11–13] on polynomial functors and categorifications.

### 2. Quantum matrix spaces

The theory of quantum  $n \times n$  matrix space is well-known and highly developed (cf. [7,20,18]). In order to develop a theory of quantum polynomial functors, we need to generalize this theory, namely we study the quantum  $m \times n$ -matrix space where m is not necessarily equal to n.

We first develop a version of quantum linear algebra in even greater generality using general Yang–Baxter spaces (see below). We introduce the notion of morphisms of Yang–Baxter spaces over algebras, and study their compositions and quantum Hom-spaces. The quantum matrix space of interest are then special cases of these more general quantum algebras, and algebraic structures such as products and coproducts are easily obtained from the general constructions.

#### 2.1. Quantum linear algebras

Let  $\Bbbk$  be a commutative ring. For any two  $\Bbbk$ -modules V, W, throughout this paper Hom(V, W) denotes Hom $_{\Bbbk}(V, W)$  for brevity, and similarly  $V \otimes W$  denotes the tensor product  $V \otimes_{\Bbbk} W$ . For any  $\Bbbk$ -module  $V, V^*$  denotes the dual space Hom $_{\Bbbk}(V, \Bbbk)$ . Let  $\mathcal{B}_d$  be the Artin braid group: it is generated by  $T_1, T_2, \cdots, T_{d-1}$  subject to the relations

$$T_i T_j = T_j T_i \qquad \text{if } |i - j| > 1,$$
  
$$T_i T_{i+1} T_i = T_{i+1} T_i T_{i+1} \qquad (2.1.1)$$

Let  $\mathfrak{S}_d$  denote the symmetric group on d letters. For any  $w \in \mathfrak{S}_d$  we define  $T_w \in \mathcal{B}_d$  by choosing a reduced expression  $w = s_{i_1} \cdots s_{i_\ell}$  and setting  $T_w = T_{i_1} \cdots T_{i_\ell}$ .

For any free k-module V of finite rank, a **Yang–Baxter operator** is a k-linear operator  $R : V^{\otimes 2} \to V^{\otimes 2}$  such that R satisfies the Yang–Baxter equation, i.e. the following equation holds in  $End(V^{\otimes 3})$ :

$$R_{12}R_{23}R_{12} = R_{23}R_{12}R_{23}$$

where  $R_{12} = R \otimes 1_V$  and  $R_{23} = 1_V \otimes R$ .

Such a pair (V, R) is called a **Yang–Baxter space**. To (V, R) we associate the right representation  $\rho_{d,V} : \mathcal{B}_d \to \operatorname{End}(V^{\otimes d})$  via the formula

$$T_i \mapsto 1_{V^{\otimes i}} \otimes R \otimes 1_{V^{\otimes d-i-1}}.$$

Often we suppress R in the notation and refer to a free k-module V as a "Yang–Baxter space". In this case, the operator R is implicit and when necessary is denoted  $R_V$ . For now the operator R is quite general, in Section 2.2 we will specialize to a specific (standard) set of R-matrices.

Now consider two Yang–Baxter spaces V, W. Let T(V, W) be the tensor algebra of Hom(V, W), which is graded

$$T(V,W) = \bigoplus_{d \ge 0} T(V,W)_d,$$

where

$$T(V,W)_d := \operatorname{Hom}(V,W)^{\otimes d} \simeq \operatorname{Hom}(V^{\otimes d},W^{\otimes d}).$$

Let I(V, W) be the two sided ideal generated by

$$R(V,W) := \{ X \circ R_V - R_W \circ X \mid X \in \operatorname{Hom}(V^{\otimes 2}, W^{\otimes 2}) \}.$$

The ideal I(V, W) is homogeneous

$$I(V,W) = \bigoplus_{d \ge 0} I(V,W)_d,$$

where  $I(V, W)_d$  is spanned by

$$\operatorname{Hom}(V,W)^{\otimes i-1} \otimes R(V,W) \otimes \operatorname{Hom}(V,W)^{\otimes d-i-1}$$

for i = 1, 2, ..., d - 1. We define

$$A(V,W) := T(V,W)/I(V,W).$$
(2.1.2)

The algebra A(V, W) is called the **quantum Hom-space algebra** from W to V (cf. [19, §3] and [14, §3]).

**Remark 2.1.** While it may seem confusing to denote by A(V, W) the morphisms from W to V, this is inherent to the quantum point-of-view. One should think of A(V, W) as the ring of regular functions on the space of morphisms from W to V (even though – as is typical in the quantum setting – the latter is not defined). Classically, i.e. when the Yang–Baxter operators are just the flip maps, A(V, W) equals S(Hom(V, W)), which is of course isomorphic to the regular functions on Hom(W, V).

A(V, W) has a natural grading

$$A(V,W) = \bigoplus_{d \ge 0} A(V,W)_d,$$

where

$$A(V,W)_d = T(V,W)_d / I(V,W)_d.$$
(2.1.3)

Let C be a k-algebra with multiplication  $m: C \times C \to C$ . We introduce the following notion.

**Definition 2.2.** A Yang-Baxter morphism from  $(V, R_V)$  to  $(W, R_W)$  over C is a k-linear map  $P: V \to W \otimes C$  such that the following diagram commutes:

$$V^{\otimes 2} \xrightarrow{P^{(2)}} W^{\otimes 2} \otimes C$$

$$\downarrow^{R_{V}} \qquad \downarrow^{R_{W} \otimes 1_{C}}$$

$$V^{\otimes 2} \xrightarrow{P^{(2)}} W^{\otimes 2} \otimes C$$

$$(2.1.4)$$

Here  $P^{(2)}$  is the composition:

$$V^{\otimes 2} \xrightarrow{P \otimes P} W \otimes C \otimes W \otimes C \xrightarrow{\text{flip}} W^{\otimes 2} \otimes C \otimes C \xrightarrow{1 \otimes m} W^{\otimes 2} \otimes C$$

Let  $\{v_i\}$  (resp.  $\{w_j\}$ ) be a basis of V (resp. W). With this choice of basis, we can write the operator  $R_V$  in terms of a matrix  $(R_{V,ij}^{k\ell})$ ,

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$$v_i \otimes v_j \mapsto \sum_{k\ell} R_{V,ij}^{k\ell} w_k \otimes w_\ell.$$
 (2.1.5)

Similarly we can express  $R_W$  in terms of the matrix  $(R_{W,ij}^{k\ell})$ .

The following lemma is immediate from the definition of a Yang–Baxter morphism.

**Lemma 2.3.** Given any k-linear map  $P: V \to W \otimes C$ , with

$$P(v_i) = \sum_j w_j \otimes P_{ji},$$

for any i, j, and  $P_{ji} \in C$ . The map P is a Yang-Baxter morphism over C if and only if for any i, j, p, q, the following quadratic relation holds

$$\sum_{k,\ell} R_{W,k\ell}^{pq} P_{ki} P_{\ell j} = \sum_{k,\ell} R_{V,ij}^{k\ell} P_{pk} P_{q\ell}.$$
(2.1.6)

Let  $\delta_{V,W} : V \to W \otimes \operatorname{Hom}(W, V)$  be the canonical map induced from the identity map  $\operatorname{Hom}(W, V) \to \operatorname{Hom}(W, V)$ . We can precisely describe it: Let  $\{v_i\}$  be a basis of Vand  $\{w_i\}$  be a basis of W, then  $\delta_{V,W}$  is given by

$$v_i \mapsto \sum_j w_j \otimes \phi_{ji},$$

for any *i*, where  $\phi_{ji}: W \to V$  is the map

$$\phi_{ji}(w_k) = \begin{cases} v_i & \text{if } k = j \\ 0 & \text{otherwise} \end{cases}$$
(2.1.7)

It is easy to check that  $\delta_{V,W}$  doesn't depend on the choice of bases.

The map  $\delta_{V,W}$  in further induces a k-linear operator

$$\delta_{V,W}: V \to W \otimes A(W,V), \tag{2.1.8}$$

since  $A(W, V)_1 = \text{Hom}(W, V)$  is a k-submodule of A(W, V).

**Lemma 2.4.** The map  $\delta_{V,W} : V \to W \otimes A(W,V)$  is a Yang-Baxter morphism over A(W,V).

**Proof.** Let  $\{v_i\}$  (resp.  $\{w_j\}$ ) be a basis of V (resp. W). By the construction of  $\delta_{V,W}$ , the map  $\delta_{V,W}^{(2)}: V^{\otimes 2} \to W^{\otimes 2} \otimes A(W,V)$  is given by

$$v_i \otimes v_j \mapsto \sum_{k,\ell} w_k \otimes w_\ell \otimes \phi_{ki} \phi_{\ell j},$$

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for any i, j. By Lemma 2.3, we need to check that  $\{\phi_{ki}\}$  satisfies the following quadratic relations:

$$\sum_{k,\ell} R^{pq}_{W,k\ell} \phi_{ki} \phi_{\ell j} = \sum_{k,\ell} R^{k\ell}_{V,ij} \phi_{pk} \phi_{q\ell},$$

for any i, j, p, q. These are exactly the quadratic relations defining the algebra A(W, V)in (2.1.2).  $\Box$ 

The following lemma shows that the quantum Hom-space algebra is characterized by a universal property. In the case where V = W are the same Yang-Baxter space this lemma follows from Theorem 3.2 in [14].

**Lemma 2.5.** Let  $(V, R_V), (W, R_W)$  be two Yang-Baxter spaces. Then the map  $\delta_{V,W}$ :  $V \to W \otimes A(W, V)$  is the unique Yang-Baxter morphism such that for any Yang-Baxter morphism  $P: V \to W \otimes C$  over a k-algebra C, there exists a unique morphism of algebras  $\tilde{P}: A(W, V) \to C$  such that the following diagram commutes:

**Proof.** Assume the map  $P: V \to W \otimes C$  is given by

$$v_i \mapsto \sum_j w_j \otimes P_{ji},$$

for any i, j, and  $P_{ij} \in C$ . Then  $(P_{ji})$  satisfies the quadratic relations in (2.1.6). We define a map  $\tilde{P}$ : Hom $(W, V) \to C$  such that  $\tilde{P}(\phi_{ji}) = P_{ji}$ . Then this map uniquely extends to a homomorphism of algebras  $\tilde{P} : A(W, V) \to C$ , since  $(\phi_{ji})$  and  $(P_{ji})$  satisfies the same quadratic relations. Clearly we have the commutative diagram (2.1.9). The uniqueness of  $\delta_{V,W}$  is clear.  $\Box$ 

Given three Yang–Baxter spaces V, W, U and Yang–Baxter morphisms  $P: V \to W \otimes C$ and  $Q: W \to U \otimes D$  over algebras C and D respectively, we denote by  $Q \circ P: V \to U \otimes D \otimes C$  the composition of P and Q.

**Lemma 2.6.** The composition  $Q \circ P$  is a Yang–Baxter morphism from  $(V, R_V)$  to  $(U, R_U)$  over  $D \otimes C$ .

**Proof.** We choose a basis  $\{v_i\}$  in V,  $\{w_j\}$  in W, and  $\{u_k\}$  in U. Assume P can be represented by the matrix  $(P_{ij})$  with respect to the basis  $\{v_i\}$  and  $\{w_j\}$ , and Q can be

represented by the matrix  $(Q_{jk})$  with respect to  $\{w_j\}$  and  $\{u_k\}$ . Then the composition  $Q \circ P : V \to U \otimes D \otimes C$  can be represented by the matrix  $(\sum_s Q_{js} \otimes P_{si})$ . By Lemma 2.3, it is enough to check that

$$\sum_{k,\ell} R_{U,k\ell}^{pq} (\sum_{s} Q_{ks} \otimes P_{si}) (\sum_{t} Q_{\ell t} \otimes P_{tj}) = \sum_{k,\ell} R_{V,ij}^{k\ell} (\sum_{s} Q_{ps} \otimes P_{sk}) (\sum_{t} Q_{qt} \otimes P_{t\ell}),$$
(2.1.10)

for any i, j, p, q.

Note that the left hand side is equal to

$$\sum_{s,t} (\sum_{k,\ell} R^{pq}_{U,k\ell} Q_{ks} Q_{\ell t}) \otimes P_{si} P_{tj}$$
(2.1.11)

Since Q is a Yang–Baxter morphism over D, (2.1.11) is equal to

$$\sum_{s,t} (\sum_{k,\ell} R_{W,st}^{k\ell} Q_{pk} Q_{q\ell}) \otimes P_{si} P_{tj}.$$
(2.1.12)

By changing the order of summations, (2.1.12) is equal to

$$\sum_{k,\ell} Q_{pk} Q_{q\ell} \otimes \left(\sum_{s,t} R_{W,st}^{k\ell} P_{si} P_{tj}\right).$$
(2.1.13)

Since P is a Yang–Baxter morphism over C, (2.1.13) is equal to

$$\sum_{k,\ell} Q_{pk} Q_{q\ell} \otimes (\sum_{s,t} R_{V,ij}^{st} P_{ks} P_{\ell t}).$$
(2.1.14)

By switching indices  $k, \ell$  and s, t, (2.1.14) is exactly the right hand side of (2.1.10).

By Lemma 2.6, the operator  $\delta_{W,V} \circ \delta_{U,W}$  is a Yang–Baxter morphism over

$$A(V,W)\otimes A(W,U).$$

Applying Lemma 2.5 to  $\delta_{W,V} \circ \delta_{U,W}$  we obtain a morphism of algebras

$$\Delta_{VWU}: A(V,U) \to A(V,W) \otimes A(W,U).$$
(2.1.15)

It preserves degree, i.e. for each  $d \ge 0$ , we have

$$\Delta_{VWU}: A(V,U)_d \to A(V,W)_d \otimes A(W,U)_d.$$

By the universal property of Yang–Baxter morphisms,  $\Delta_{*,*,*}$  satisfies co-associativity, i.e. for any Yang–Baxter spaces V, W, U, Z, we have

$$(1 \otimes \Delta_{WUZ}) \circ \Delta_{VWZ} = (\Delta_{VWU} \otimes 1) \circ \Delta_{VUZ}.$$
(2.1.16)

Let

$$S(V,W;d) := (A(W,V)_d)^*.$$
(2.1.17)

This space, a kind of "rectangular generalized Schur algebra", will be used throughout this paper. We will see below (Section 2.2) that when V = W are the standard Yang-Baxter spaces, then S(V, V; d) is the q-Schur algebra.

From  $\Delta_{V,W,U}$ , we obtain by duality a k-bilinear map

$$m_{UWV}: S(W,V;d) \times S(U,W;d) \to S(U,V;d).$$
 (2.1.18)

For any  $a \in S(W, V; d)$  and  $b \in S(U, W; d)$ , we denote by  $b \circ a$  the element  $m_{UWV}(a, b) \in S(U, V; d)$ . It is given by the following composition

By co-associativity of  $\Delta_{*,*,*}$ , we naturally have associativity of  $m_{*,*,*}$ , i.e. for any Yang-Baxter spaces V, W, U, Z,

$$m_{ZWV} \circ (1 \times m_{ZUW}) = m_{VWU} \circ (m_{UWV} \times 1). \tag{2.1.20}$$

The following proposition generalizes [20, Theorem 11.3.1] to the case where  $V \neq W$ and the Hecke algebra is replaced by the braid group. (Note that this proof is simpler; in particular no dimension arguments are used and hence we don't need to produce a basis for S(V, W; d).)

**Proposition 2.7.** Let V, W be Yang-Baxter spaces. Then there exists a natural isomorphism

$$S(V, W; d) \simeq \operatorname{Hom}_{\mathcal{B}_d}(V^{\otimes d}, W^{\otimes d}).$$

**Proof.** We define a representation of  $\mathcal{B}_d$  on  $\operatorname{Hom}(V^{\otimes d}, W^{\otimes d})$ , where for each *i*,  $T_i$  is the following operator

$$X \mapsto X \circ \rho_{d,V}(T_i)^{-1} - \rho_{d,W}(T_i)^{-1} \circ X,$$

for  $X \in \text{Hom}(V^{\otimes d}, W^{\otimes d})$ . Recall that  $\rho_{d,V}$  (resp.  $\rho_{d,W}$ ) denotes the right action of  $\mathcal{B}_d$  on  $V^{\otimes d}$  (resp.  $W^{\otimes d}$ ). Note that  $\text{Hom}_{\mathcal{B}_d}(V^{\otimes d}, W^{\otimes d})$  is the just the invariant space  $\text{Hom}(V^{\otimes d}, W^{\otimes d})^{\mathcal{B}_d}$  of  $\mathcal{B}_d$  on  $\text{Hom}(V^{\otimes d}, W^{\otimes d})$ .

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Similarly we define a representation of  $\mathcal{B}_d$  on  $\operatorname{Hom}(W^{\otimes d}, V^{\otimes d})$ , where for each *i*,  $T_i$  is the following operator

$$Y \mapsto Y \circ \rho_{d,W}(T_i) - \rho_{d,V}(T_i) \circ Y,$$

for  $Y \in \text{Hom}(W^{\otimes d}, V^{\otimes d})$ . Note that from (2.1.3) we have that  $A(W, V)_d$  is the coinvariant space  $\text{Hom}(W^{\otimes d}, V^{\otimes d})_{\mathcal{B}_d}$  of  $\mathcal{B}_d$  on  $\text{Hom}(W^{\otimes d}, V^{\otimes d})$ .

Now consider the following perfect non-degenerate pairing

$$\langle \cdot, \cdot \rangle : \operatorname{Hom}_{\Bbbk}(V^{\otimes d}, W^{\otimes d}) \times \operatorname{Hom}(W^{\otimes d}, V^{\otimes d}) \to \Bbbk,$$

given by  $\langle X, Y \rangle := \operatorname{trace}(Y \circ X)$ . It is clear that the representation of  $\mathcal{B}_d$  on  $\operatorname{Hom}(W^{\otimes d}, V^{\otimes d})$  is contragradient to the representation of  $\mathcal{B}_d$  on  $\operatorname{Hom}(V^{\otimes d}, W^{\otimes d})$  with respect to the above non-degenerate pairing. Therefore we have a natural isomorphism

$$(\operatorname{Hom}(W^{\otimes d}, V^{\otimes d})_{\mathcal{B}_d})^* \simeq \operatorname{Hom}(V^{\otimes d}, W^{\otimes d})^{\mathcal{B}_d},$$

i.e. there exists a natural isomorphism

$$S(V,W;d) \simeq \operatorname{Hom}_{\mathcal{B}_d}(V^{\otimes d}, W^{\otimes d}).$$

Let  $\Delta : \operatorname{Hom}(V, U) \to \operatorname{Hom}_{\Bbbk}(V, W) \otimes \operatorname{Hom}_{\Bbbk}(W, U)$  be the map

$$\Delta(\phi_{ji}) = \sum_{s} \phi_{js} \otimes \phi_{si},$$

where  $\phi_{ji} \in \text{Hom}(V, U)$ ,  $\phi_{si} \in \text{Hom}(V, W)$  and  $\phi_{js} \in \text{Hom}(W, U)$  are defined as in (2.1.7) after a choice of bases for V, W, U. The map  $\Delta$  induces a map of tensor algebras  $\Delta : T(V, U) \to T(V, W) \otimes T(W, U)$ .

**Proposition 2.8.** Given three Yang–Baxter spaces V, W, U, then the following diagram commutes:

**Proof.** Recall that A(V, U) is a quotient of T(V, U) by the relations,

$$\sum_{k\ell} (R^{pq}_{U,k\ell} \phi_{ki} \otimes \phi_{\ell j} - R^{k\ell}_{V,ij} \phi_{pk} \otimes \phi_{q\ell}),$$

for any appropriate indices i, j, p, q after a choice of bases of V, W, U. It is a tedious but straight forward to show that the defining quadratic relations for A(V, U) will be sent to zero by the composition map  $\pi \otimes \pi \circ \Delta : T(V,U) \xrightarrow{\Delta} T(V,W) \otimes T(W,U) \xrightarrow{\pi \otimes \pi} A(V,W) \otimes A(W,U)$ , where  $\pi$  is the projection map. It means that we have the following commutative diagram

$$A(V,W) \otimes A(W,U) \stackrel{\pi \otimes \pi}{\longleftarrow} T(V,W) \otimes T(W,U) .$$

$$\uparrow^{\Delta_{V,W,U}} \qquad \Delta \uparrow^{(L)}$$

$$A(V,U) \stackrel{\pi}{\longleftarrow} T(V,U)$$

Moreover note that these maps preserve degrees. After taking the dual on each degree and applying Proposition 2.7, the commutativity of (2.1.21) follows.  $\Box$ 

#### 2.2. Quantum matrix space

We fix a commutative ring k and an element  $q \in \mathbb{k}^{\times}$ . Let  $\mathcal{H}_d$  be the Iwahori–Hecke algebra of type A: it is the k-algebra generated by  $T_1, T_2, ..., T_{d-1}$  subject to the relations:

$$T_i T_j = T_j T_i \qquad \text{if } |i - j| > 1,$$
  

$$T_i T_{i+1} T_i = T_{i+1} T_i T_{i+1} \qquad (2.2.22)$$
  

$$(T_i - q)(T_i + q^{-1}) = 0.$$

The algebra  $\mathcal{H}_d$  is a quotient of the group algebra of the braid group  $B_d$ , by the third relation above which we call the "Hecke relation".

Let  $(V_n, R_n)$  be the **standard Yang-Baxter space**, where  $V_n = \mathbb{k}^n$  with basis  $e_1, e_2, \dots, e_n$ , and  $R_n : V_n \otimes V_n \to V_n \otimes V_n$  is the k-linear operator defined by:

$$R_n(e_i \otimes e_j) = \begin{cases} e_j \otimes e_i & \text{if } i < j \\ qe_i \otimes e_j & \text{if } i = j \\ (q - q^{-1})e_i \otimes e_j + e_j \otimes e_i & \text{if } i > j \end{cases}$$

$$(2.2.23)$$

where  $q \in \mathbb{k}$ . The following is well-known and easy to check (see e.g. Lemma 4.8 in [21]).

**Lemma 2.9.** For any  $n, R_n : V_n^{\otimes 2} \to V_n^{\otimes 2}$  is a Yang-Baxter operator. Moreover,  $R_n$  satisfies the Hecke relation in (2.2.22), i.e.

$$(R_n - q)(R_n + q^{-1}) = 0.$$

Let  $\rho_{d,n} : \mathcal{H}_d \to \operatorname{End}(V_n^{\otimes d})$  denote the corresponding right  $\mathcal{H}_d$ -module. Recall the map  $\delta_{V_n,V_m} : V_n \to V_m \otimes A_q(m,n)$  given in (2.1.8), we can write

$$\delta_{V_n,V_m}(e_i) = \sum_j e_j \otimes x_{ji},$$

where  $\{x_{ji}\}$  is the standard basis of  $\operatorname{Hom}(V_m, V_n)$  mapping  $e_k \mapsto \delta_{ik} e_j$  and  $\delta_{ik}$  is the Kronecker symbol.

**Lemma 2.10.** The algebra  $A(V_m, V_n)$  is generated by  $x_{ji}$ ,  $1 \le j \le m$ ,  $1 \le i \le n$ , subject to the following relations:

$$k > \ell \Rightarrow x_{ik} x_{i\ell} = q x_{i\ell} x_{ik}$$
$$i > j \Rightarrow x_{ik} x_{jk} = q x_{jk} x_{ik}$$
$$k > \ell \text{ and } i > j \Rightarrow x_{i\ell} x_{jk} = x_{jk} x_{i\ell}$$
$$k > \ell \text{ and } i > j \Rightarrow x_{ik} x_{j\ell} - x_{j\ell} x_{ik} = (q - q^{-1}) x_{i\ell} x_{jk}.$$

**Proof.** By Lemma 2.4,  $\delta_{V_n,V_m}$  is a Yang–Baxter morphism from  $(V_n, R_n)$  to  $(V_m, R_m)$  over  $A_q(m, n)$ . Then our lemma follows from Lemma 2.3.  $\Box$ 

By this lemma, the algebra  $A(V_m, V_n)$  is a deformation of the ring of functions on the space of  $m \times n$  matrices over  $\Bbbk$ . Indeed by the above lemma when q = 1 we have  $A(V_m, V_n) \cong \mathcal{O}(\operatorname{Hom}(\Bbbk^n, \Bbbk^m))$ , the algebra of functions on  $\operatorname{Hom}(\Bbbk^n, \Bbbk^m)$ .

Since from now on we will only be working with the standard Yang–Baxter spaces we will drop the V from the notation and write:

$$A_q(m,n) = A(V_m, V_n)$$
$$A_q(m,n)_d = A(V_m, V_n)_d$$
$$S_q(m,n;d) = S(V_m, V_n;d)$$

We refer to  $A_q(m, n)$  as the algebra of **quantum**  $m \times n$  **matrices**. Note that when m = n $A_q(n, n)$  is a bialgebra with counit  $\epsilon : A_q(n, n) \to \mathbb{k}$  given by  $\epsilon(x_{ij}) = \delta_{ij}$ . In fact,  $A_q(n, n)$  is the well-known algebra of quantum  $n \times n$  matrices (cf. [21, §4]).

We now record a monomial basis of  $A_q(m, n)$ . This is easiest to formulate using the following ordering. Consider the set  $\{x_{ji} : i, j = 1, 2, ...\}$  of infinitely many variables with a total order so that

$$x_{11} < x_{21} < x_{22} < x_{31} < x_{22} < x_{13} < x_{41} < \cdots$$

This induces a total order on  $\{x_{ji} : 1 \leq j \leq m, 1 \leq i \leq n\}$ . Now given a monomial  $m = \prod_{ij} x_{ij}^{a_{ij}} \in A_q(m, n)$  let  $\vec{m}$  be the reordered monomial so that the variables appear from smallest to biggest. For instance, if  $m = x_{21}^2 x_{11} x_{31}^2$  then  $\vec{m} = x_{11} x_{21}^2 x_{31}^2$ .

**Lemma 2.11.** The set of ordered monomials  $\{\vec{m} : m = \prod_{ij} x_{ij}^{a_{ij}}, a_{ij} \ge 0\}$  is a basis of  $A_q(m, n)$ .

**Proof.** We apply the Bergman Diamond Lemma [3]. The set-up is as follows. Let  $X = \{x_{ji} : 1 \leq j \leq m, 1 \leq i \leq n\}$  and let  $\langle X \rangle$  be the free monoid generated by X. Endow X with the reverse total order as the one above;  $\langle X \rangle$  is then endowed with the induced lexicographic total order

Let S be the set of relations from Lemma 2.10. Every relation in S is of the form m - f where  $m \in \langle X \rangle$ ,  $f \in \Bbbk \langle X \rangle$  and m is strictly bigger than every monomial in f (m is simply the leftmost monomial in each one of the relations above). In other words, this order is "compatible with reductions" in the sense of [3]. (Recall for a relation m - f the corresponding reduction is an endomorphism of  $\Bbbk \langle X \rangle$  that maps  $AmB \mapsto AfB$  and every other element of  $\langle X \rangle$  to itself.)

Note that the irreducible monomials, i.e. those unchanged by all reductions, are precisely the ordered monomials in the statement of the lemma. Therefore by the Diamond Lemma, to conclude that these form a basis of  $A_q(m,n)$  we need to show that one can resolve all minimal ambiguities. This means that any sequence of reductions that one can apply to a degree three monomial  $x_{ik}x_{j\ell}x_{rs}$  results in the same irreducible monomial. This is a straightforward case-by-case analysis.  $\Box$ 

As a consequence of Lemma 2.11, as k-modules  $A_q(m,n)$  and  $A_q(m,n)_d$  are free over k.

Consider  $\Delta_{\ell,m,n} = \Delta_{V_{\ell},V_m,V_n} : A_q(\ell,n) \to A_q(\ell,m) \otimes A_q(m,n)$  defined as in (2.1.15). On generators  $\Delta_{\ell,m,n}$  is given by

$$x_{ij} \mapsto \sum_{k=1}^m x_{ik} \otimes x_{kj}.$$

Usually  $\ell, m, n$  are clear from context and we omit them from the notation.

Recall from (2.1.17) that

$$S_q(m,n;d) = (A_q(n,m)_d)^*.$$

Note that  $S_q(n, n; d)$  is an algebra with the multiplication from (2.1.18), and it is the well-known q-Schur algebra (cf. [21, §11]), which is usually denoted  $S_q(n, d)$ . Thus we can regard  $S_q(m, n; d)$  as a kind of "rectangular q-Schur algebra" generalizing the m = n case. These will serve as the morphism spaces in the quantum divided power category which we define below.

Let  $\epsilon_n : A_q(n,n)_d \to \mathbb{k}$  be the restriction of  $\epsilon : A_q(n,n) \to \mathbb{k}$ . The following lemma is well-known.

**Lemma 2.12.**  $\epsilon_n$  is the unit of the q-Schur algebra  $S_q(n, n; d)$ .

**Proof.** It is enough to check that  $\epsilon_n$  is a counit of  $A_q(n,n)_d$ , i.e. to check that the following diagrams commute:

But these diagrams are just the degree d part of the left co-unit and right co-unit diagrams for  $A_q(n,n)$ , where  $\epsilon$  is the co-unit of  $A_q(n,n)$ , and hence are known to be commutative [20, Section 3.6].  $\Box$ 

#### 3. Main definitions

#### 3.1. Classical polynomial functors

Let  $\mathcal{V}$  be the category of finite projective k-modules. To motivate our definition of quantum polynomial functors we first recall the classical category of strict polynomial functors. For any  $V \in \mathcal{V}$  the symmetric group  $\mathfrak{S}_d$  acts on the tensor product  $V^{\otimes d}$  by permuting factors.

For  $V \in \mathcal{V}$  the *d*-th divided power of *V* is defined as the invariants  $\Gamma^d(V) = (\otimes^d V)^{\mathfrak{S}_d}$ . Let  $\Gamma^d \mathcal{V}$  denote the category consisting of objects  $V \in \mathcal{V}$  and morphisms

$$\operatorname{Hom}_{\Gamma^{d}\mathcal{V}}(V,W) = \Gamma^{d}(\operatorname{Hom}(V,W)).$$

The diagonal inclusion  $\mathfrak{S}_d \subset \mathfrak{S}_d \times \mathfrak{S}_d$  induces a morphism

$$\Gamma^d(U) \otimes \Gamma^d(V) \to \Gamma^d(U \otimes V).$$

Composition in  $\Gamma^d \mathcal{V}$  is then defined as

$$\Gamma^{d}(\operatorname{Hom}(V,U)) \otimes \Gamma^{d}(\operatorname{Hom}(W,V)) \longrightarrow \Gamma^{d}(\operatorname{Hom}(V,U) \otimes \operatorname{Hom}(W,V))$$

$$\downarrow$$

$$\Gamma^{d}(\operatorname{Hom}(W,U)).$$

Let  $\mathcal{P}^d$  be the category consisting of k-linear functors  $\Gamma^d \mathcal{V} \to \mathcal{V}$ . Morphisms  $\mathcal{P}^d$  are natural transformations of functors.  $\mathcal{P}^d$  is the category of **polynomial functors of homo-geneous degree** d.

We remark that this is not the definition of  $\mathcal{P}^d$  which originally appears in Friedlander and Suslin's work [8] on the finite generation of the cohomology of finite group schemes. In their presentation polynomial functors have both source and target the category  $\mathcal{V}$ , and it is required that maps between Hom-spaces are polynomial. In the presentation we use, the polynomial condition is encoded in the category  $\Gamma^d \mathcal{V}$ . For details see [15,16] and references therein.

#### 3.2. Definition of quantum polynomial functors

Note that in the above setup,  $\Gamma^d(\operatorname{Hom}(V, W)) \cong \operatorname{Hom}_{\mathfrak{S}_d}(V^{\otimes d}, W^{\otimes d})$ . This observation motivates our definition of quantum polynomial functors.

For any  $d \ge 0$ , we define **quantum divided power category**  $\Gamma_q^d \mathcal{V}$ : it consists of objects 0, 1, 2, ... and the morphisms are defined as

$$\operatorname{Hom}_{\Gamma^{d}_{q}\mathcal{V}}(m,n) := \operatorname{Hom}_{\mathcal{B}_{d}}(V_{m}^{\otimes d}, V_{n}^{\otimes d}).$$
(3.2.24)

We should think of  $\Gamma_q^d \mathcal{V}$  as the category of standard Yang–Baxter spaces  $(V_n, R_n)$ , and morphisms are given by *d*-th degree part of quantum Hom-space algebras.

A quantum polynomial functor of degree d is defined to be a k-linear functor

$$F: \Gamma^d_a \mathcal{V} \to \mathcal{V}.$$

We denote by  $\mathcal{P}_q^d$  the category of quantum polynomial functors of degree d. Morphisms are natural transformations of functors.

The category  $\mathcal{P}_q^d$  is an exact category in the sense of Quillen. Foror the basics on exact categories see [6]. Let  $\mathcal{P}_q$  be the category of quantum polynomial functors of all possible degrees,

$$\mathcal{P}_q := \bigoplus_d \mathcal{P}_q^d$$

Given  $F \in \mathcal{P}_q$  we denote the map on hom-spaces by  $F_{m,n}$ :  $\operatorname{Hom}_{\mathcal{B}_d}(V_m^{\otimes d}, V_n^{\otimes d}) \to \operatorname{Hom}(F(m), F(n)).$ 

**Remark 3.1.** When q = 1 our construction recovers the classical category  $\mathcal{P}^d$ . Indeed the natural functor  $\Gamma_1^d \mathcal{V} \to \Gamma^d \mathcal{V}$  defined by  $n \mapsto \mathbb{k}^n$  is an equivalence of categories, and induces an equivalence  $\mathcal{P}_1^d \cong \mathcal{P}^d$ .

**Remark 3.2.** In the definition of the morphisms (3.2.24) in  $\Gamma_q^d \mathcal{V}$  we can replace  $\mathcal{B}_d$  by  $\mathcal{H}_d$  since the action of  $\mathcal{B}_d$  on tensor powers of the standard Yang–Baxter space factors through  $\mathcal{H}_d$ .

 $\mathcal{P}_q$  has a monoidal structure. For any  $F \in \mathcal{P}_q^d$  and  $G \in \mathcal{P}_q^e$  define the tensor product  $F \otimes G \in \mathcal{P}_q^{d+e}$  as follows: for any n,  $(F \otimes G)(n) := F(n) \otimes G(n)$  and for any m, n, the map on morphisms is given by the composition

where the second morphism is in fact an isomorphism, which follows from the following general lemma.

**Lemma 3.3.** Let A and B be k-algebras. Given A-modules  $V_1, V_2$  and B-modules  $W_1, W_2$ such that  $V_1, V_2, W_1, W_2$  are free over k of finite rank, then the natural inclusion

$$\alpha: \operatorname{Hom}_{A}(V_{1}, V_{2}) \otimes \operatorname{Hom}_{B}(W_{1}, W_{2}) \to \operatorname{Hom}_{A \otimes B}(V_{1} \otimes W_{1}, V_{2} \otimes W_{2}))$$

is an isomorphism.

**Proof.** First of all we can identify  $\operatorname{Hom}(V_1, V_2) \otimes \operatorname{Hom}(W_1, W_2) \simeq \operatorname{Hom}(V_1 \otimes W_1, V_2 \otimes W_2)$ . Hence the injectivity of  $\alpha$  is clear. Given any  $f \in \operatorname{Hom}_{A \otimes B}(V_1 \otimes W_1, V_2 \otimes W_2))$ , we can write f as  $\sum_i e_i \otimes \psi_i$ , where  $\{e_i\}$  is a basis of  $\operatorname{Hom}(V_1, V_2)$ , and for each i,  $\psi_i \in \operatorname{Hom}(W_1, W_2)$ . By assumption f intertwines with the action of  $1 \otimes B$ . Since  $\{e_i\}$  is a basis, it follows that for every i,  $\psi_i$  intertwines with the action of B, i.e.  $f \in \operatorname{Hom}(V_1, V_2) \otimes \operatorname{Hom}_B(W_1, W_2)$ . Now we can write  $f = \sum_j \phi_j \otimes a_j$ , where  $\{a_j\}$  is a basis of  $\operatorname{Hom}_B(W_1, W_2)$ . Note that f also intertwines with  $A \otimes 1$ . It follows that for any j,  $\phi_j$  intertwines with the action of A. It shows the surjectivity of the inclusion  $\alpha$ .  $\Box$ 

A duality is defined on  $\mathcal{P}_q$  as follows. We first identify  $V_m \cong V_m^*$  via the standard basis  $e_i$ , i.e. if  $e_1^*, \dots, e_m^*$  denotes the dual basis of  $V_m^*$  then  $V_m \to V_m^*$  is given by  $e_i \mapsto e_i^*$ . This induces an identification

$$\sigma: \operatorname{Hom}_{\mathcal{B}_d}(V_m^{\otimes d}, V_n^{\otimes d}) \to \operatorname{Hom}_{\mathcal{B}_d}(V_n^{\otimes d}, V_m^{\otimes d}).$$

For  $F \in \mathcal{P}_q^d$  we define  $F^{\sharp} \in \mathcal{P}_q^d$  by:

(i)  $F^{\sharp}(n) := F(n)^*$ , (ii)  $F^{\sharp}_{m,n} : \operatorname{Hom}_{\mathcal{B}_d}(V_m^{\otimes d}, V_n^{\otimes d}) \to \operatorname{Hom}(F^{\sharp}(m), F^{\sharp}(n))$  is given by the composition

$$\operatorname{Hom}_{\mathcal{B}_d}(V_m^{\otimes d}, V_n^{\otimes d}) \xrightarrow{\sigma} \operatorname{Hom}_{\mathcal{B}_d}(V_n^{\otimes d}, V_m^{\otimes d}) \xrightarrow{F_{n,m}} \operatorname{Hom}(F(n), F(m))$$

$$\downarrow \cong$$

$$\operatorname{Hom}(F(m)^*, F(n)^*)$$

Given a morphism  $f: F \to G$  in  $\mathcal{P}_q$ , we define  $f^{\sharp}: G^{\sharp} \to F^{\sharp}$  by  $f^{\sharp}(n) = f(n)^*$ . It is straightforward to check that  $f^{\sharp}$  is a morphism of polynomial functors. Note that the functor \* is a contravariant duality functor on  $\mathcal{V}$ . Therefore  $\sharp$  defines a contravariant duality  $\sharp: \mathcal{P}_q \to \mathcal{P}_q$ .

**Lemma 3.4.** Given any two quantum polynomial functors F and G of homogeneous degree, then we have a canonical isomorphism

$$(F \otimes G)^{\sharp} \simeq F^{\sharp} \otimes G^{\sharp}.$$

**Proof.** The lemma is routine to check. It follows from the constructions of tensor product  $\otimes$  and the contravariant functor  $\sharp$ .  $\Box$ 

#### 3.3. Examples

The **identity functor**  $I \in \mathcal{P}_q^1$  is given by  $I(n) = V_n$ . On morphisms it is the identity map. We denote by  $\bigotimes^d$  the *d*-th **tensor product functor**. It is given by  $n \mapsto V_n^{\otimes d}$  and on morphisms by the natural inclusion

$$\operatorname{Hom}_{\mathcal{B}_d}(V_n^{\otimes d}, V_m^{\otimes d}) \to \operatorname{Hom}(V_n^{\otimes d}, V_m^{\otimes d}).$$

Notice that  $\bigotimes^d = I^{\otimes d}$ . It is also easy to see that the right action of  $\mathcal{B}_d$  on  $V_n^{\otimes d}$  gives rise to endomorphisms of  $\bigotimes^d$  as quantum polynomial functors, i.e. for any  $w \in \mathfrak{S}_d$ ,  $T_w : \bigotimes^d \to \bigotimes^d$  is a morphism.

An important role will be played by the functors

$$\Gamma_q^{d,m}: n \mapsto \operatorname{Hom}_{\mathcal{B}_d}(V_m^{\otimes d}, V_n^{\otimes d}).$$

Note that  $\Gamma_q^{d,m}(n) = \operatorname{Hom}_{\mathcal{B}_d}(V_m^{\otimes d}, V_n^{\otimes d})$ . By Proposition 2.7 and Lemma 2.11,  $\Gamma_q^{d,m}$  is a well-defined object in  $\mathcal{P}_q$ . In particular when m = 1, it gives the *d*-th q-divided power  $\Gamma_q^d$ .

Let  $\chi_+$  be the character of  $\mathcal{B}_d$  given by  $\chi_+(T_i) = q$ , and let  $\chi_-$  be the character given by  $\chi_-(T_i) = -q^{-1}$ . We define the *d*-th q-symmetric power  $S_q^d$  by

$$n\mapsto V_n^{\otimes d}\otimes_{\mathcal{B}_d}\chi_+,$$

and the *d*-th *q*-exterior power  $\bigwedge_{a}^{d}$  by

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$$n\mapsto \bigwedge_{q}^{d}:=V_{n}^{\otimes d}\otimes_{\mathcal{B}_{d}}\chi_{-}.$$

For any n,  $S_q^d(n)$  and  $\bigwedge_q^d(n)$  are free k-modules of finite rank, hence  $S_q^d$ ,  $\bigwedge_q^d$  are examples in  $\mathcal{P}_q$ .

The quantum polynomial functors  $\Gamma_q^d, S_q^d$  and  $\bigwedge_q^d$  are quantum analogues of divided power, symmetric power, exterior power functors. Moreover  $(S_q^d)^{\sharp} \simeq \Gamma_q^d$ . Indeed when q = 1 we recover the classical divided power, symmetric power and exterior power strict polynomial functors. For instance,  $\Gamma_1^d(n) = (V_n^{\otimes d})^{\mathfrak{S}_d}$ , which is precisely the *d*-th divided power of  $V_n$ . Moreover,  $S_q^d(n)$  and  $\bigwedge_q^d(n)$  recover the constructions of quantum symmetric and exterior powers due to Berenstein and Zwicknagl [5].

We remark also that since we are using the standard Yang–Baxter spaces the action of  $\mathcal{B}_d$  on  $V_n^{\otimes d}$  factors through the Hecke algebra  $\mathcal{H}_d$ . Therefore we could have replaced the occurrences of the braid group above by the Hecke algebra. Now note that the characters  $\chi_{\pm}$  are the only two rank one modules of the Hecke algebra  $\mathcal{H}_d$ , and they are the quantum analogues of trivial and sign representation of symmetric group  $\mathfrak{S}_d$ . The characters  $\chi_{\pm}$  are used here to define the quantum symmetric and exterior powers in the same way that the trivial and sign representations are used to define the classical symmetric and exterior powers.

#### 3.4. An equivalent characterization of quantum polynomial functors

Given a quantum polynomial functor F of degree d we get a finite projective k-module F(n) for any  $n \ge 0$  and for any m, n, by Proposition 2.7, we get a map:

$$F_{m,n}: S_q(m,n;d) \to \operatorname{Hom}(F(m),F(n)).$$

This gives rise to maps

$$F'_{m,n}: S_q(m,n;d) \otimes F(m) \to F(n),$$

and also

$$F_{m,n}'': F(m) \to F(n) \otimes A_q(n,m)_d.$$

The following proposition gives an equivalent characterization of quantum polynomial functors in terms of the quantum matrix algebra.

**Proposition 3.5.** A quantum polynomial functor F of degree d is equivalent to the following data:

1. for each positive integer a finite projective  $\Bbbk$ -module  $F(n) \in \mathcal{V}$ ;

2. given any two nonnegative integers m, n a k-linear map

$$F_{m,n}'': F(m) \to F(n) \otimes A_q(n,m)_d$$

such that, for any  $\ell, m, n$ , the following diagrams commute

and for any n,

Here  $\epsilon : A_q(n, n)_d \to \mathbb{k}$  is the co-unit map (cf. Lemma 2.12).

**Proof.** By Proposition 2.7, for any  $n, m, A_q(n, m)_d$  is dual to  $\operatorname{Hom}_{\mathcal{B}_d}(V_m^{\otimes d}, V_n^{\otimes d})$  as  $\Bbbk$ -modules. Given any element  $\phi \in \operatorname{Hom}_{\mathcal{B}_d}(V_m^{\otimes d}, V_n^{\otimes d})$ , it is equivalent to give a  $\Bbbk$ -linear functional  $\tilde{\phi} : A_q(n, m)_d \to \Bbbk$ .

Given a tuple of data  $(F(n), F''_{m,n})$  which satisfies (3.4.25) and (3.4.26), we can construct a quantum polynomial functor F, which assigns each  $n \ge 0$  to F(n), and on the level of morphisms, for any  $\phi \in \operatorname{Hom}_{\mathcal{B}_d}(V_m^{\otimes d}, V_n^{\otimes d})$ , we set

$$F(\phi) := (1_{F(n)} \otimes \tilde{\phi}) \circ F''_{m,n}$$

For any  $\phi \in \operatorname{Hom}_{\mathcal{B}_d}(V_m^{\otimes d}, V_n^{\otimes d})$  and  $\phi \in \operatorname{Hom}_{\mathcal{B}_d}(V_n^{\otimes d}, V_\ell^{\otimes d})$ , we need to check that

$$F(\psi \circ \phi) = F(\psi) \circ F(\phi).$$

This follows from (3.4.25) and Proposition 2.8 by chasing diagrams. Similarly (3.4.26) implies that for the identity map  $1 \in \operatorname{Hom}_{\mathcal{B}_d}(V_n^{\otimes d}, V_n^{\otimes d})$ , we have  $F(1) = 1_{F(n)}$ . Therefore F is a well-defined quantum polynomial functor.

Conversely, given a quantum polynomial functor F, we have explained in the beginning of this subsection how to get a tuple of data  $(F(n), F''_{m,n})$ , and (3.4.25) and (3.4.26) easily follow from the functor axioms.  $\Box$ 

#### 4. Finite generation and representability

**Definition 4.1.** The quantum polynomial functors  $F \in \mathcal{P}_q^d$  is *m*-generated if for any *n* the map

$$F'_{m,n}: S_q(m,n;d) \otimes F(m) \to F(n)$$

is surjective. F is **finitely generated** if it is m-generated for some m.

Let  $\underline{i} = \{i_1, ..., i_r\}$  be a set of positive integers. Define a homomorphism

$$\phi_i: A_q(n,\ell) \to \Bbbk$$

by  $x_{k\ell} \mapsto 1$  if  $k = \ell$  and  $k \in \underline{i}$ , and otherwise  $x_{k\ell} \mapsto 0$ . By Lemma 2.10  $\phi_{\underline{i}}$  is a well-defined homomorphism of algebras. By restriction we get a k-linear map

$$\phi_i^d: A_q(n,m)_d \to \mathbb{k}$$

In other words,  $\phi_{\underline{i}}^d \in S_q(m, n; d)$ . For  $F \in \mathcal{P}_q^d$  we get a morphism

$$F_{m,n}(\phi_i^d) \in \operatorname{Hom}(F(m), F(n)).$$

**Lemma 4.2** (Lemma 2.8, [8]). Let V be a free k-module of finite rank. We fix elements  $v_1, v_2, \dots, v_n \in V$ . For any homogeneous polynomial  $f \in S^d(V^*)$  of degree d, if d < n then

$$f(v_1 + v_2 + \dots + v_n) = \sum_{\underline{i} \subset \{1, 2, \dots, n\}, |\underline{i}| \le d} (-1)^{n - |\underline{i}|} f(\sum_{k \in \underline{i}} v_k),$$

where  $|\underline{i}|$  is the cardinality of the set  $\underline{i} \subset \{1, 2, \cdots, n\}$ .

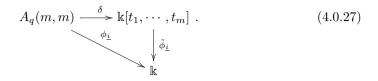
**Lemma 4.3.** If m > d then  $\phi_{\{1,\ldots,m\}}^d \in S_q(m,m;d)$  is an integral linear combination of  $\phi_i^d$  where  $|\underline{i}| \leq d$ .

**Proof.** There is a homomorphism of algebras  $\delta : A_q(m,m) \to \mathbb{k}[t_1, t_2, \cdots, t_m]$  given by  $x_{kk} \mapsto t_k; x_{k\ell} \mapsto 0$  if  $k \neq \ell$ . Note that for any  $\underline{i}, \phi_{\underline{i}}$  factors through the homomorphism  $\phi_{\underline{i}} : \mathbb{k}[t_1, t_2, \cdots, t_m] \to \mathbb{k}$ , where

$$\tilde{\phi}_{\underline{i}}(t_k) = \begin{cases} 1 & \text{if } k \in \underline{i} \\ 0 & \text{otherwise} \end{cases}$$

,

i.e. we have the following commutative diagram:



Let  $\tilde{\phi}_{\underline{i}}^d$  be the restriction of  $\tilde{\phi}_{\underline{i}}$  to  $\mathbb{k}[t_1, t_2, \cdots, t_m]_d$ , where  $\mathbb{k}[t_1, t_2, \cdots, t_m]_d$  is the space of homogeneous polynomials in  $t_1, t_2, \cdots, t_m$  of degree d. Observe that for any polynomial  $f \in \mathbb{k}[t_1, t_2, \cdots, t_m]_d$ , we have

$$\tilde{\phi}_{\underline{i}}^d(f) = f(\sum_{i \in \underline{i}} e_i),$$

where  $e_i$  is the *i*-th basis in  $\mathbb{k}^m$ . Therefore the lemma follows from Lemma 4.2.  $\Box$ 

**Lemma 4.4.** Let  $\underline{i}, \underline{j}$  be sets of positive integers and consider  $\phi_{\underline{i}}^d \in S_q(\ell, m; d)$  and  $\phi_{\underline{j}}^d \in S_q(m, n; d)$ . Furthermore consider  $\phi_{\underline{i}\cap j}^d \in S_q(\ell, n; d)$ . Then we have

$$\phi_{\underline{j}}^d \circ \phi_{\underline{i}}^d = \phi_{\underline{i} \cap \underline{j}}^d.$$

Therefore  $F_{m,n}(\phi_{\underline{j}}^d) \circ F_{\ell,m}(\phi_{\underline{i}}^d) = F_{m,n}(\phi_{\underline{i}\cap\underline{j}}^d).$ 

**Proof.** It suffices to show that  $(\phi_{\underline{j}} \otimes \phi_{\underline{i}}) \circ \Delta_{n,m,\ell} = \phi_{\underline{i} \cap \underline{j}}$ , and for this it suffices to show that both sides of the equation agree on  $x_{ab} \in A_q(n, \ell)$ :

$$(\phi_{\underline{j}} \otimes \phi_{\underline{i}})(\Delta_{n,m,\ell}(x_{ab})) = (\phi_{\underline{j}} \otimes \phi_{\underline{i}})(\sum_{p=1}^{m} x_{ap} \otimes x_{pb})$$
(4.0.28)

$$=\sum_{p=1}^{m}\phi_{\underline{i}}(x_{ap})\phi_{\underline{i}}(x_{pb})$$
(4.0.29)

Since  $\phi_j(x_{ap})\phi_i(x_{pb}) = 1$  if and only if a = b = p and  $a \in \underline{i} \cap j$  we have that

$$\sum_{p=1}^{m} \phi_{\underline{j}}(x_{ap}) \phi_{\underline{i}}(x_{pb}) = \phi_{\underline{i} \cap \underline{j}}(x_{ab}).$$

The second statement of the lemma follows immediately.  $\Box$ 

**Proposition 4.5.**  $F \in \mathcal{P}_q^d$  is m-generated for any  $m \ge d$ .

**Proof.** We need to show that  $F'_{m,n} : S_q(m,n;d) \otimes F(m) \to F(n)$  given by  $\phi \otimes v \mapsto F_{m,n}(\phi)(v)$  is surjective for any n.

Suppose  $m \ge n$  and choose  $\underline{i} = \{1, ..., n\}$ . By Lemma 4.4,  $F_{n,n}(\phi_{\underline{i}}^d) = F_{m,n}(\phi_{\underline{i}}^d) \circ F_{m,n}(\phi_{\underline{i}}^d)$ . Now note that  $\phi_{\underline{i}}^d \in S_q(n, n; d)$  is the unit element by Lemma 2.12, and hence  $F_{n,n}(\phi_{\underline{i}}^d) = 1_{F(n)}$ . Therefore  $F_{m,n}(\phi_{\underline{i}}^d)$  is surjective which implies that  $F'_{m,n}$  is as well.

Now suppose m < n. By Lemma 4.3 the identity operator  $1_{F(n)}$  is an integral linear combination of  $F_{m,m}(\phi_i^d)$ , where  $|\underline{i}| \leq d$ . Therefore we have, by Lemma 4.4,

$$1_{F(n)} = \sum_{|\underline{i}| \le d} a_{\underline{i}} F_{n,n}(\phi_{\underline{i}}^d)$$
$$= \sum_{|\underline{i}| \le d} a_{\underline{i}} F_{m,n}(\phi_{\underline{i}}^d) \circ F_{n,m}(\phi_{\underline{i}}^d),$$

where  $a_{\underline{i}} \in \mathbb{Z}$  and only finitely many are nonzero. Given  $v \in F(n)$  let  $v_{\underline{i}} = (F_{n,m}(\phi_{\underline{i}}^d))(v)$ . Then we have that  $v = \sum_{|\underline{i}| < d} a_{\underline{i}}(F_{m,n}(\phi_{\underline{i}}^d))(v_{\underline{i}})$ , i.e.

$$F'_{m,n}\left(\sum_{|\underline{i}|\leq d}a_{\underline{i}}\phi^d_{\underline{i}}\otimes v_{\underline{i}}\right)=v$$

proving that  $F'_{m,n}$  is surjective.  $\Box$ 

**Proposition 4.6.** For any  $n \ge 0$ , the divided power  $\Gamma_q^{d,n}$  represents the evaluation functor  $\mathcal{P}_q^d \to \mathcal{V}$  given by  $F \mapsto F(n)$ , i.e. there exists a canonical isomorphism

$$\operatorname{Hom}_{\mathcal{P}_{a}^{d}}(\Gamma_{q}^{d,n},F) \simeq F(n).$$

Hence  $\Gamma_q^{d,n}$  is a projective object in  $\mathcal{P}_q^d$ .

**Proof.** We first show that given  $F \in \mathcal{P}_q^d$  there are natural isomorphisms

$$\operatorname{Hom}_{\mathcal{P}_q^d}(\Gamma_q^{d,n},F) \cong F(n)$$

for any *n*. Consider the map  $\phi : F(n) \to \operatorname{Hom}_{\mathcal{P}_q^d}(\Gamma_q^{d,n}, F)$  given by  $w \mapsto \phi_w$ , where  $\phi_w : \Gamma_q^{d,n} \to F$  is the natural transformation

$$\phi_w(-) = \mathsf{ev}_w \circ F_{n,-}.$$

In other words,  $\phi_w(m): \Gamma_q^{d,n}(m) \to F(m)$  is the map

$$x \in \operatorname{Hom}_{\mathcal{B}_d}(V_n^{\otimes d}, V_m^{\otimes d}) \mapsto F_{n,m}(x)(w) \in F(m).$$

Conversely, consider the map  $\psi : \operatorname{Hom}_{\mathcal{P}^d_q}(\Gamma^{d,n}_q, F) \to F(n)$  defined as follows:

$$f \in \operatorname{Hom}_{\mathcal{P}_q^d}(\Gamma_q^{d,n}, F) \rightsquigarrow f(n) : \operatorname{End}_{\mathcal{B}_d}(V_n^{\otimes d}) \to F(n)$$
$$\sim f(n)(1_n) \in F(n)$$

where  $1_n \in \operatorname{End}_{\mathcal{B}_d}(V_n^{\otimes d})$  is the identity operator.

Unpackaging these definitions we see that  $\phi$  is inverse to  $\psi$ , proving that  $\Gamma_q^{d,n}$  represents the evaluation functor. It follows that  $\Gamma_q^{d,n}$  is projective since the evaluation functor  $ev_n : \mathcal{P}_q^d \to \mathcal{V}, F \mapsto F(n)$  is exact.  $\Box$ 

For an algebra A we let mod(A) denote the category of left A-modules that are finite projective over  $\Bbbk$ .

**Theorem 4.7.** If  $n \ge d$  then  $\Gamma_q^{d,n}$  is a projective generator of  $\mathcal{P}_q^d$ . Hence the evaluation functor  $\mathcal{P}_q^d \to \operatorname{mod}(S_q(n,n;d))$  is an equivalence of categories.

**Proof.** By Proposition 4.6 we have that  $\Gamma_q^{d,n}$  is projective. To see that it's a generator when  $n \geq d$  it suffices to show that  $F'_{n,-} : \Gamma_q^{d,n} \otimes F(n) \to F$  is surjective. This follows immediately from Proposition 4.5, which gives us that for every m the map  $F'_{n,m}$  is surjective. Hence the equivalence follows.  $\Box$ 

Let  $\operatorname{comod}(A_q(n,n)_d)$  be the category of right comodules over the coalgebra  $A_q(n,n)_d$ that are finite projective over k. It is clear that the category  $\operatorname{mod}(S_q(n,n;d))$  is equivalent to  $\operatorname{comod}(A_q(n,n)_d)$ . Therefore Theorem 4.7 immediately implies that the evaluation functor  $\mathcal{P}_q^d \to \operatorname{comod}(A_q(n,n)_d)$  is an equivalence if  $n \ge d$ .

**Remark 4.8.** Theorem 4.7 can be stated in slightly greater generality, where  $\mathcal{P}_q^d$  is replaced by the category of k-linear functors from  $\Gamma_q^d$  to all projective k-modules, and  $\operatorname{mod}(S_q(n,n;d))$  is replaced by  $\operatorname{Mod}(S_q(n,n;d))$ , the category of all  $S_q(n,n;d)$ -modules that are projective over k. The same proofs carry over to this setting.

We now state a series of corollaries of Theorem 4.7. The first is well-known (cf. [4, p. 26]) and it is an immediate consequence.

**Corollary 4.9.** Let  $d \ge 0$  be an integer. For any two integers  $m, n \ge d$  the q-Schur algebras  $S_q(n, n; d)$  and  $S_q(m, m; d)$  are Morita equivalent.

To state another corollary, we first note that the functor  $\Gamma_q^{d,n}$  has a natural decomposition

$$\Gamma_q^{d,n} \cong \bigoplus_{d_1 + \dots + d_n = d} \Gamma_q^{d_1} \otimes \dots \otimes \Gamma_q^{d_n}.$$
(4.0.30)

Indeed, by Frobenius reciprocity and Remark 3.2 we have

$$\Gamma_q^{d_1}(m) \otimes \cdots \otimes \Gamma_q^{d_n}(m) \cong \operatorname{Hom}_{\mathcal{H}_{d_1} \otimes \cdots \otimes \mathcal{H}_{d_n}} (\chi_+ \otimes \cdots \otimes \chi_+, V_m^{\otimes d})$$
$$\cong \operatorname{Hom}_{\mathcal{H}_d}(\operatorname{Ind}_{\mathcal{H}_{d_1} \otimes \cdots \otimes \mathcal{H}_{d_n}}^{\mathcal{H}_d} (\chi_+ \otimes \cdots \otimes \chi_+), V_m^{\otimes d})$$

and so (4.0.30) follows from the isomorphism which is due to Dipper–James ([21, Proposition 11.5])

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$$V_n^{\otimes d} \cong \bigoplus_{d_1 + \dots + d_n = d} \operatorname{Ind}_{\mathcal{H}_{d_1} \otimes \dots \otimes \mathcal{H}_{d_n}}^{\mathcal{H}_d} (\chi_+ \otimes \dots \otimes \chi_+).$$
(4.0.31)

This isomorphism can be made explicit by mapping  $e_1^{\otimes d_1} \cdots e_n^{\otimes d_n}$  to

$$1 \in \operatorname{Ind}_{\mathcal{H}_{d_1} \otimes \cdots \otimes \mathcal{H}_{d_n}}^{\mathcal{H}_d} (\chi_+ \otimes \cdots \otimes \chi_+),$$

and extending by  $\mathcal{H}_d$ -linearity.

By Proposition 2.7, (4.0.31) induces a partition of the unit of  $S_q(n, n; d)$  into orthogonal idempotents:  $1 = \sum 1_{\vec{d}}$ , where the sum ranges over all  $\vec{d} = (d_1, ..., d_n)$  such that  $d_1 + \cdots + d_n = d$ . For  $M \in \text{mod}(S_q(n, n; d))$  there is a corresponding decomposition into weight spaces

$$M = \bigoplus M_{\vec{d}},$$

where  $M_{\vec{d}} = 1_{\vec{d}}M$ .

**Corollary 4.10.** Let  $M \in \mathcal{P}_q^d$ ,  $n \ge 0$  and  $d_1, ..., d_n \ge 0$  such that  $d_1 + \cdots + d_n = d$ . Then under the isomorphism  $\operatorname{Hom}_{\mathcal{P}_q}(\Gamma_q^{d,n}, F) \cong F(n)$  we have

$$\operatorname{Hom}_{\mathcal{P}_q}(\Gamma_q^{d_1} \otimes \cdots \otimes \Gamma_q^{d_n}, F) \cong F(n)_{(d_1, \dots, d_n)}$$

**Proof.** There is a canonical element  $\iota_{(d_1,\ldots,d_n)} \in \Gamma_q^{d_1}(n) \otimes \cdots \otimes \Gamma_q^{d_n}(n)$  corresponding to the inclusion

$$\operatorname{Ind}_{\mathcal{H}_{d_1}\otimes\cdots\otimes\mathcal{H}_{d_n}}^{\mathcal{H}_d}(\chi_+\otimes\cdots\otimes\chi_+)\hookrightarrow V_n^{\otimes d}$$

under (4.0.31). The map  $\operatorname{Hom}_{\mathcal{P}_q}(\Gamma_q^{d_1} \otimes \cdots \otimes \Gamma_q^{d_n}, F) \to F(n)_{d_1,\ldots,d_n}$  is given by  $f \mapsto f(n)(\iota_{(d_1,\ldots,d_n)})$ . This map lands in the  $(d_1,\ldots,d_n)$  weight space since f is a natural transformation. More precisely, under our identifications we have the following commutative diagram:

which implies that  $f(n)(\iota_{(d_1,\ldots,d_n)}) = 1_{(d_1,\ldots,d_n)}f(n)(\iota_{(d_1,\ldots,d_n)})$ . Consider the diagram

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This diagram clearly commutes. Since both vertical maps are inclusions and the bottom map is an isomorphism by Theorem 4.7, the top map is an isomorphism.  $\Box$ 

The final corollary recovers a basic result relating the Hecke algebra and the q-Schur algebra. Recall from Section 3.3 that for any  $w \in \mathfrak{S}_d$  we have a morphism of quantum polynomial functors  $T_w : \bigotimes^d \to \bigotimes^d$ . Since the  $T_i$  satisfy the Hecke relation this induces a map  $\mathcal{H}_d \to \operatorname{Hom}_{\mathcal{P}_q}(\otimes^d, \otimes^d)$ .

**Corollary 4.11.** The map  $\mathcal{H}_d \to \operatorname{Hom}_{\mathcal{P}_q}(\bigotimes^d, \bigotimes^d)$  is an isomorphism. Hence for any  $n \geq d$ , the map  $\mathcal{H}_d \to \operatorname{Hom}_{S_q(n,n;d)}(V_n^{\otimes d}, V_n^{\otimes d})$  is an isomorphism of algebras.

**Proof.** By Corollary 4.10, we have  $\operatorname{Hom}_{\mathcal{P}_d}(\bigotimes^d, \bigotimes^d) \simeq (V_d^{\otimes d})_{1,\dots,1}$ . The space  $(V_d^{\otimes d})_{1,\dots,1}$  has of basis  $e_{i_1} \otimes e_{i_2} \otimes \cdots \otimes e_{i_d}$ , where  $i_1, i_2, \cdots, i_d$  are all distinct. Under this isomorphism, the map  $\mathcal{H}_d \to (V_d^{\otimes d})_{1,\dots,1}$  is given by  $T_w \mapsto e_{w(1)} \otimes e_{w(2)} \otimes \cdots \otimes e_{w(d)}$ , for any  $w \in \mathfrak{S}_d$ . It is easy to see that this is a bijection.

The second statement now follows from the first one using Theorem 4.7.  $\Box$ 

Corollary 4.11 together with Proposition 2.7 recovers the double centralizer property between Hecke algebra and q-Schur algebra in the stable range when  $n \ge d$ .

#### 5. Braiding on $\mathcal{P}_q$

In this section we will use Theorem 4.7 to define a braiding on the category of quantum polynomial functors, thus showing that  $\mathcal{P}_q$  is a braided monoidal category.

Observe first that if  $F \in \mathcal{P}_q^d$  then, by Proposition 3.5, the map  $F_{n,n}''$  induces on F(n) the structure of an  $A_q(n,n)_d$ -comodule:

$$F_{n,n}'': F(n) \to F(n) \otimes A_q(n,n;d).$$

We will use the Sweedler notation to denote this coaction:

$$v \in F(n) \mapsto \sum v_0 \otimes v_1 \in F(n) \otimes A_q(n,n;d)$$

For a coalgebra C we let comod(C) be the category of right C-comodules that are finite projective over k. Now suppose we are given

$$V \in \operatorname{comod}(A_q(n,n)_d)$$
 and  $W \in \operatorname{comod}(A_q(n,n)_e)$ .

Then  $V \otimes W \in \mathsf{comod}(A_q(n,n)_{d+e})$  and there is a well-known morphism induced from the R-matrix

$$R_{V,W}: V \otimes W \to W \otimes V,$$

which is an isomorphism of  $A_q(n, n)_{d+e}$ -comodules. We recall the construction of  $R_{V,W}$  following Takeuchi [21, §12].

Define  $\sigma$ : Hom $(V_n, V_n) \times$  Hom $(V_n, V_n) \rightarrow \mathbb{k}$  by

$$\sigma(x_{ii}, x_{jj}) = \begin{cases} 1 & \text{if } i < j \\ q & \text{if } i = j \\ 1 & \text{if } i > j \end{cases}$$

and in addition  $\sigma(x_{ij}, x_{ji}) = q - q^{-1}$  if i < j and  $\sigma(x_{ij}, x_{kl}) = 0$  otherwise.

Recall that  $\operatorname{Hom}(V_n, V_n) = A_q(n, n)_1 \subset A_q(n, n)$ , so we can extend  $\sigma$  to a braiding on  $A_q(n, n)$  [21, Proposition 12.9]. This means that it is an invertible bilinear form on  $A_q(n, n)$  such that for all  $x, y, z \in A_q(n, n)$ :

$$\sigma(xy, z) = \sum \sigma(x, z_1)\sigma(y, z_2)$$
$$\sigma(x, yz) = \sum \sigma(x_1, z)\sigma(x_2, y)$$
$$\sigma(x_1, y_1)x_2y_2 = \sum y_1x_1\sigma(x_2, y_2)$$

Here we again we use the Sweedler notation for the coproduct  $\Delta : A_q(n,n) \to A_q(n,n) \otimes A_q(n,n)$  so  $\Delta(x) = \sum x_1 \otimes x_2$ . The R-matrix is given by

$$R_{V,W}(v\otimes w) = \sum \sigma(v_1, w_1)w_0 \otimes v_0.$$

Note that  $R_{V_n,V_n} = R_n$ , where  $R_n$  is defined in Section 2.2.

**Lemma 5.1.** Let  $d, e \ge 0$ . Then there exists  $\kappa \in \mathcal{H}_{d+e}$  such that for all  $m \ge 1$ 

$$R_{V_m^d, V_m^e} = \rho_{d+e,m}(\kappa).$$

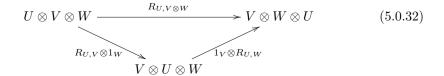
In particular  $\kappa = T_{w_{d,e}}$  where  $w_{d,e} \in \mathfrak{S}_{d+e}$  is given by

$$w(i) = \begin{cases} i+e & \text{if } 1 \le i \le d\\ i-d & \text{if } d < i \end{cases}$$

#### **Proof.** For

$$U \in \operatorname{comod}(A_q(m,m)_d), V \in \operatorname{comod}(A_q(m,m)_e), \text{ and } W \in \operatorname{comod}(A_q(m,m)_f)$$

the following two diagrams commute:



and

$$U \otimes V \otimes W \xrightarrow{R_{U \otimes V,W}} W \otimes U \otimes V$$

$$1_{U \otimes R_{V,W}} \xrightarrow{R_{U,W} \otimes 1_{V}} U \otimes W \otimes V$$
(5.0.33)

These are well-known properties of the R-matrix, and follow from the fact that  $\sigma$  is a braiding.

We will use these diagrams to prove the lemma by induction on d + e. If d + e = 2 then the statement is tautological. If d + e > 2 then suppose first  $e \ge 2$ . By (5.0.32) and the inductive hypothesis we have:

$$\begin{aligned} R_{V_m^{\otimes d}, V_m^{\otimes e}} &= R_{V_m^{\otimes d}, V_m^{\otimes e-1} \otimes V_m} = (1_{V_m^{\otimes e-1}} \otimes R_{V_m^{\otimes d}, V_m}) \circ (R_{V_m^{\otimes d}, V_m^{\otimes e-1}} \otimes 1_{V_m}) \\ &= (1_{V_m^{\otimes e-1}} \otimes \rho_{d+1, m}(T_{w_{d,1}}) \circ (\rho_{d+e-1, m}(T_{w_{d,e-1}}) \otimes 1_{V_m}) \\ &= \rho_{d+e, m}(T_{w_1}) \circ \rho_{d+e, m}(T_{w_2}) \\ &= \rho_{d+e, m}(T_{w_1}T_{w_2}) \end{aligned}$$

where  $w_1, w_2 \in \mathfrak{S}_{d+e}$  are given by

$$w_1(i) = \begin{cases} i & \text{if } 1 \le i \le e-1\\ i+1 & \text{if } e \le i \le e+d-1\\ e & \text{if } i=e+d \end{cases}$$

and

$$w_2(i) = \begin{cases} e - 1 + i & \text{if } 1 \le i \le d \\ i - d & \text{if } d + 1 \le i \le e + d - 1 \\ e + d & \text{if } i = e + d \end{cases}$$

Since  $w_1w_2 = w_{d,e}$  and  $\ell(w_1) + \ell(w_2) = \ell(w_{d,e})$  (where  $\ell$  is the usual length function), we have that  $T_{w_1}T_{w_2} = T_{w_{d,e}}$  and the result follows.

In the case that e < 2 then  $d \ge 2$  and a similar induction applies, where one uses (5.0.33) instead of (5.0.32).  $\Box$ 

Now suppose  $F \in \mathcal{P}_q^d$  and  $G \in \mathcal{P}_q^e$ . Define

$$R_{F,G}: F \otimes G \to G \otimes F$$

by  $R_{F,G}(m) = R_{F(m),G(m)}$ .

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**Theorem 5.2.** R induces a braiding on the category  $\mathcal{P}_q$ . In other words, let  $F \in \mathcal{P}_q^d$  and  $G \in \mathcal{P}_q^e$ . Then  $R_{F,G} \in \operatorname{Hom}_{\mathcal{P}_q}(F \otimes G, G \otimes F)$  and moreover  $R_{F,G}$  is an isomorphism.

**Proof.** We only need to show that  $R_{F,G} \in \text{Hom}_{\mathcal{P}_q}(F \otimes G, G \otimes F)$ ; the fact that  $R_{F,G}$  is an isomorphism then follows immediately.

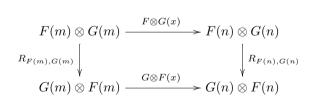
We first prove  $R_{F,G} \in \operatorname{Hom}_{\mathcal{P}_q}(F \otimes G, G \otimes F)$  in the case where  $F = \bigotimes^d$  and  $G = \bigotimes^e$ . In that case we need to show that for any  $x \in \operatorname{Hom}_{\mathcal{H}_{d+e}}(V_m^{\otimes d+e}, V_n^{\otimes d+e})$  the diagram

commutes. Clearly we have that  $\bigotimes^{d+e}(x) \in \operatorname{Hom}_{\mathcal{H}_{d+e}}(V_m^{\otimes d+e}, V_n^{\otimes d+e})$ , i.e. for all  $\tau \in \mathcal{H}_{d+e}$ 

$$\bigotimes^{d+e}(x) \circ \rho_{d+e,m}(\tau) = \rho_{d+e,n}(\tau) \circ \bigotimes^{d+e}(x).$$

In particular this is true for  $\tau = \kappa$ , which, by Lemma 5.1, is precisely the commutativity of (5.0.34).

Now, by Theorem 4.7, any  $F \in \mathcal{P}_q^d$  is a subquotient of some copies of  $\bigotimes^d$ . Therefore to prove the theorem in general it suffices to prove it for F = F'/F'' and G = G'/G'' such that  $F, G \in \mathcal{P}_q$ , where  $F'' \subset F' \subset \bigotimes^d$  and  $G'' \subset G' \subset \bigotimes^e$ . In other words, we need to show that for F and G as in the previous sentence and any  $x \in \operatorname{Hom}_{\mathcal{H}_{d+e}}(V_m^{\otimes d+e}, V_n^{\otimes d+e})$  the diagram



the diagram commutes. This is a consequence of the commutativity of (5.0.34) and the fact that the R-matrix is compatible with restriction. In other words, given  $V \in$  $\operatorname{comod}(A_q(m,m)_d)$  and  $W \in \operatorname{comod}(A_q(m,m)_e)$  and sub-comodules  $V' \subset V$  and  $W' \subset$ W then  $R_{V',W'} = R_{V,W}|_{V'\otimes W'}$ .  $\Box$ 

Let  $\Omega(n, d)$  be the set of tuples  $I = (i_1, i_2, \dots, i_d)$ , where  $1 \le i_k \le n$  for any  $1 \le k \le d$ . We call I **increasing** if  $i_1 \le i_2 \le \dots \le i_d$  and I is **strictly increasing** if  $i_1 < i_2 < \dots < i_d$ . We denote by  $e_I$  the element  $e_{i_1} \otimes e_{i_2} \otimes \dots \otimes e_{i_d} \in V_n^{\otimes d}$ . We now introduce a pairing (,) on  $V_n^{\otimes d}$ , for any  $I, J \in \Omega(n, d)$ ,

$$(e_I, e_J) := \delta_{IJ},$$

where  $\delta_{IJ}$  is the Kronecker symbol.

**Lemma 5.3.** Given any  $w \in \mathfrak{S}_d$ ,  $I, J \in \Omega(n, d)$ , we have

$$(e_I \cdot T_w, e_J) = (e_I, e_J \cdot T_{w^{-1}}).$$

**Proof.** It can be reduced to the case d = 2. In this case, it suffices to check that for any  $i, j, k, \ell$ ,

$$(R_n(e_i \otimes e_j), e_k \otimes e_\ell) = (e_i \otimes e_j, R_n(e_k \otimes e_\ell)).$$

This is a straightforward computation from the definition of the R-matrix  $R_n$ .  $\Box$ 

The following lemma follows from the definition of duality functor  $\sharp$ .

**Lemma 5.4.** There exists a canonical isomorphism.  $(\bigotimes^d)^{\sharp} \simeq \bigotimes^d$ .

By this lemma, we can identify  $\bigotimes^d$  and  $(\bigotimes^d)^{\sharp}$ .

**Proposition 5.5.** Given any  $w \in \mathfrak{S}_d$ , we have

$$(T_w)^{\sharp} = T_{w^{-1}} : \bigotimes^d \to \bigotimes^d.$$

**Proof.** It follows from Lemma 5.3 and Lemma 5.4.  $\Box$ 

The following proposition is about the compatibility between the duality functor  $\sharp$  and the braiding R.

**Proposition 5.6.** Given any two quantum polynomial functors  $F, G \in \mathcal{P}_q$ , we have

$$(R_{F,G})^{\sharp} = R_{G^{\sharp},F^{\sharp}}.$$

**Proof.** It suffices to check the following diagram commutes,

where the horizontal maps are the canonical isomorphisms in Lemma 3.4. By the functoriality of R, as the argument in Theorem 5.2 we can reduce to the case  $F = \bigotimes^d$  and

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 $G = \bigotimes^e$ . Under the identification  $(\bigotimes^n)^{\sharp} \simeq \bigotimes^n$  for any n, it is enough for us to check  $(R_{\bigotimes^d,\bigotimes^e})^{\sharp} = R_{\bigotimes^e,\bigotimes^d}$ . By Theorem 5.2 and Proposition 5.5, we only need to show that  $w_{d,e}^{-1} = w_{e,d}$ , which is clearly true.  $\Box$ 

## 6. Quantum Schur and Weyl functors

In this section we assume  $q^2 \neq -1$ . We define quantum Schur and Weyl functors. As in the setting of classical strict polynomial functors, these families of functors play a fundamental role, and we use them here to construct the simple objects in  $\mathcal{P}_q$  (up to isomorphism). In several key calculations in this section we appeal to theorems in [14].

### 6.1. Quantum symmetric and exterior powers

We call  $I \in \Omega(n,d)$  strict if for any  $1 \leq k \neq \ell \leq d$ ,  $i_k \neq i_\ell$ . Let  $\Omega^{++}(n,d)$  be the set of strictly increasing tuples of integers in  $\Omega(n,d)$ . We denote by  $x_{IJ}$  the monomials  $x_{i_1j_1}x_{i_2j_2}\cdots x_{i_dj_d}$  in  $A_q(n,m)$  where  $I = (i_1, i_2, \cdots, i_d) \in \Omega(n,d)$  and  $J = (j_1, j_2, \cdots, j_d) \in \Omega(m,d)$ .

Recall that by Remark 3.2  $\bigwedge_{q}^{d}(n) = V_{n}^{\otimes d} \otimes_{\mathcal{H}_{d}} \chi_{-}$ . Note that  $\bigwedge_{q}^{d}(n)$  is isomorphic to the *d*th graded component of

$$\bigwedge_{q}^{\bullet}(n) := T(V_n)/I(R_n)$$

where  $T(V_n)$  is the tensor algebra of  $V_n$  and  $I(R_n)$  is the two-sided ideal of  $T(V_n)$ , generated in degree two by  $R_n(v \otimes w) + q^{-1}w \otimes v$ , for  $v, w \in V_n$ .

As usual for exterior algebras, we use  $\wedge$  to denote the product in the algebra  $\bigwedge_{q}^{\bullet}(n)$ . For any  $I \in \Omega(n, d)$  we denote by  $\bar{e}_I$  the image of  $e_I$  in  $\bigwedge_{q}^{d}(n)$ :

$$\bar{e}_I = e_{i_1} \wedge e_{i_2} \wedge \dots \wedge e_{i_d}.$$

Moreover we have the following basic calculus of q-wedge products:

$$e_i \wedge e_j = \begin{cases} 0 & \text{if } i = j \\ -q^{-1}e_j \wedge e_i & \text{if } i > j \end{cases}$$

**Lemma 6.1.** Let  $I = (i_1, i_2, \cdots, i_d) \in \Omega(n, d)$ .

- 1. If there exists  $1 \leq k \neq \ell \leq d$  such that  $i_k = i_\ell$  then  $e_{i_1} \wedge e_{i_2} \wedge \cdots \wedge e_{i_d} = 0$ .
- 2. If I is strictly increasing and  $\sigma \in \mathfrak{S}_d$ , then

$$e_{i_{\sigma(1)}} \wedge e_{i_{\sigma(2)}} \wedge \dots \wedge e_{i_{\sigma(d)}} = (-q^{-1})^{\ell(\sigma)} e_{i_1} \wedge e_{i_2} \wedge \dots \wedge e_{i_d},$$

where  $\ell(\sigma)$  is the length of  $\sigma$ .

**Proof.** Both parts follow easily from the definition of the q wedge products, cf. Equations (2.3), (2.4) in [14].  $\Box$ 

A consequence of above lemma is that  $\bigwedge_q^d(n)$  has a basis  $e_{i_1} \wedge \cdots \wedge e_{i_d}$  for  $1 \leq i_1 < \cdots < i_d \leq n$ . The q-antisymmetrization map  $\alpha_d(n) : \bigwedge_q^d(n) \to V_n^{\otimes d}$  is given by

$$e_{i_1} \wedge \dots \wedge e_{i_d} \mapsto \sum_{w \in \mathfrak{S}_d} (-q^{-1})^{\ell(w)} e_{i_{w(1)}} \otimes \dots \otimes e_{i_{w(d)}},$$

for  $1 \leq i_1 < \cdots < i_d \leq n$ .

We define the following elements of  $\mathcal{H}_d$ :

$$x_d = \sum_{w \in \mathfrak{S}_d} q^{\ell(w)} T_w$$
$$y_d = \sum_{w \in \mathfrak{S}_d} (-q^{-1})^{\ell(w)} T_w$$

In the current setting, it is convenient for us to denote the right action of  $\mathcal{H}_d$  on  $V_n^{\otimes d}$  by a dot.

**Lemma 6.2.** Given any tuple  $I = (i_1, i_2, \dots, i_d) \in \Omega(n, d)$  we have

$$\alpha_d(n)(e_{i_1} \wedge \cdots \wedge e_{i_d}) = e_I \cdot y_d.$$

**Proof.** Suppose first that I is strict. Let  $I_0$  be the strictly increasing tuple such that  $I = I_0 \cdot \sigma$  for a unique permutation  $\sigma \in \mathfrak{S}_d$ . The following computation proves the lemma in this case:

$$\alpha_{d}(n)(\bar{e}_{I}) = (-q^{-1})^{\ell(\sigma)} \alpha_{q}^{d}(\bar{e}_{I_{0}})$$

$$= (-q^{-1})^{\ell(\sigma)} \sum_{w \in \mathfrak{S}_{d}} (-q^{-1})^{\ell(w)} e_{I_{0} \cdot w}$$

$$= (-q^{-1})^{\ell(\sigma)} e_{I_{0}} \cdot y_{d}$$

$$= e_{I_{0}} \cdot (T_{\sigma} \cdot y_{d})$$

$$= e_{I} \cdot y_{d}$$
(6.1.36)

where the first equality follows from Lemma 6.1 (2), the third and the last equalities holds because  $I_0$  is strictly increasing and the fourth equality follows from the following fact:

$$T_{\sigma} \cdot y_d = (-q^{-1})^{\ell(\sigma)} y_d.$$

Now suppose that I is not strict. Then by Lemma 6.1 (1) it is enough to show

$$e_I \cdot y_d = 0.$$
 (6.1.37)

Let  $I = (i_1, i_2, \dots, i_d)$ . Assume that k is the maximal number such that  $i_1, i_2, \dots, i_k$ are all distinct but  $i_{k+1}$  is equal to one of  $i_1, i_2, \dots, i_k$ . Let  $\sigma$  be the (unique) element in  $\mathfrak{S}_k \subset \mathfrak{S}_d$ , such that  $(i_{\sigma^{-1}(1)}, i_{\sigma^{-1}(2)}, \dots, i_{\sigma^{-1}(k)})$  are strictly increasing. Then  $e_I = e_{I \cdot \sigma^{-1}} T_{\sigma}$  and

$$e_I \cdot y_d = e_{I \cdot \sigma^{-1}}(T_\sigma y_d) = (-q^{-1})^{\ell(\sigma)} e_{I \cdot \sigma^{-1}} \cdot y_d$$

Hence to show the formula (6.1.37), we can always assume that  $i_1 < i_2 < \cdots < i_k$ and  $i_{k+1} = i_a$ , where  $1 \leq a \leq k$ . Take the element  $S = T_{a+1} \cdots T_{k-1} T_k \in \mathcal{H}_d$ . Then  $e_I = e_{I'} \cdot S$ , where  $I' = (i_1, i_2, \cdots, i_a, i_{k+1}, i_{a+1}, i_{a+2}, \cdots, i_k, i_{k+2}, i_{k+3}, \cdots, i_d)$  and then

$$e_I \cdot y_d = e_{I'}(Sy_d) = (-q^{-1})^{k-a} e_{I'} \cdot y_d.$$

Note that  $e_{I'}T_a = qe_{I'}$ . On the other hand

$$e_{I'}(T_a \cdot y_d) = (-q^{-1})(e_{I'} \cdot y_d).$$

By the assumption that  $q^2 \neq -1$ , it forces  $e_{I'} \cdot y_d = 0$ , and hence  $e_I \cdot y_d = 0$ .  $\Box$ 

Recall also that we have the quantum symmetric power

$$S_q^d(n) = V_n^{\otimes d} \otimes_{\mathcal{H}_d} \chi_{+}$$

and the quantum divided power functor

$$\Gamma_q^d(n) = \operatorname{Hom}_{\mathcal{H}_d}(\chi_+, V_n^{\otimes d}).$$

Let  $p_d$  be the projection map  $p_d : \bigotimes^d \to \bigwedge^d_q$  and let  $q_d$  be the projection morphism  $q_d : \bigotimes^d \to S^d_q$ . Let  $i_d : \Gamma^d_q \to \bigotimes^d$  be the natural inclusion map. It is clear that  $p_d, q_d, i_d$  are morphisms of quantum polynomial functors.

**Proposition 6.3.** The q-antisymmetrization  $\alpha_d : \bigwedge_q^d \to \bigotimes^d$  is a morphism of quantum polynomial functors.

**Proof.** We work with the characterization of quantum polynomial functors given by Proposition 3.5. We need to check that, for any n, m, the following diagram commutes:

The quantum polynomial functor  $\bigotimes^d$  gives rise to the bottom map, which for any  $I \in \Omega(m, d)$ , is given by

$$e_I \mapsto \sum_{J \in \Omega(n,d)} e_J \otimes x_{JI}.$$

It also induces the quantum polynomial functor structure on  $\bigwedge_q^d$ , and so for any m, nand for any  $I \in \Omega(n, d)$  the top map is given by

$$\bar{e}_I \mapsto \sum_{J \in \Omega(n,d)} \bar{e}_J \otimes x_{JI},$$

where  $\bar{e}_I \in \bigwedge_q^d(m)$  and  $\bar{e}_J \in \bigwedge_q^d(n)$ .

We start with an element  $\bar{e}_I \in \bigwedge_q^d(m)$ , where *I* is strictly increasing. In the diagram (6.1.38), if we go up-horizontal and then downward, then by Lemma 6.2,  $\bar{e}_I$  is mapped to

$$\sum_{J \in \Omega(n,d)} e_J \cdot y_d \otimes x_{JI} = \sum_{J \in \Omega(n,d)} \sum_{w \in \mathfrak{S}_d} (-q^{-1})^{\ell(w)} e_J \cdot T_w \otimes x_{JI}$$
$$= \sum_{w \in \mathfrak{S}_d} (-q^{-1})^{\ell(w)} (\sum_{J \in \Omega(n,d)} e_J \cdot T_w \otimes x_{JI}), \qquad (6.1.39)$$
$$= \sum_{w \in \mathfrak{S}_d} (-q^{-1})^{\ell(w)} (\sum_{J \in \Omega(n,d)} e_J \otimes x_{J(I\cdot w)})$$

where the last equality holds since  $T_w$  is an endomorphism of the quantum polynomial functor  $\bigotimes^d$ , and also  $e_I \cdot T_w = e_{I \cdot w}$ .

If we go downward and then down-horizontal,  $\bar{e}_I$  is exactly mapped to

$$\sum_{w \in \mathfrak{S}_d} (-q^{-1})^{\ell(w)} (\sum_{J \in \Omega(n,d)} e_J \otimes x_{J(I \cdot w)})$$

showing the commutativity of the diagram (6.1.38).

Proposition 6.4. There exist canonical isomorphisms

$$(\bigwedge_q^d)^{\sharp} \simeq \bigwedge_q^d, \quad (S_q^d)^{\sharp} \simeq \Gamma_q^d.$$

Under these identifications, we have the following equalities:

$$(p_d)^{\sharp} = \alpha_d, \quad (q_d)^{\sharp} = i_d.$$

**Proof.** We first consider  $\bigwedge_q^d$ . Let  $\{(\bar{e}_I)^*\}_{I \in \Omega(n,d)^{++}}$  be the dual basis of  $\{\bar{e}_I\}_{I \in \Omega(n,d)^{++}}$  in  $(\bigwedge_q^d(n))^*$ , where  $\bar{e}_I = e_{i_1} \wedge e_{i_2} \wedge \cdots \wedge e_{i_n} \in \bigwedge_q^d(n)$  for  $I = (i_1, i_2, \cdots, i_n)$ . It naturally gives

a set of elements in  $(V_n^{\otimes d})^*$  via the inclusion map  $(\bigwedge_q^d(n))^* \to (V_n^{\otimes d})^*$ . By Lemma 6.1, the element  $(\bar{e}_I)^*$  can be identified with

$$e_I \cdot y_d = \sum_{w \in \mathfrak{S}_d} (-q^{-1})^{\ell(w)} e_{I \cdot w}.$$

It exactly coincides with the of image of  $\bar{e}_I$  after the q-antisymmetrization map  $\alpha_d(n)$ . It implies that  $(\bigwedge_q^d)^{\sharp} \simeq \bigwedge_q^d$  under the correspondences  $(\bar{e}_I)^* \mapsto \bar{e}_I$ , moreover  $\alpha_d = (p_d)^{\sharp}$ .

We now consider the projection map  $q_d : \bigotimes^d \to S_q^d$ . Note that the q-symmetric power  $S_q^d(n)$  is the quotient

$$\frac{V_n^{\otimes d}}{\sum_{i=1}^{d-1} \operatorname{Im}(T_i - q)},$$

and the q-divided power  $\Gamma_q^d(n)$  is the subspace of  $V_n^{\otimes d}$ ,

$$\bigcap_{i=1}^{d-1} \operatorname{Ker}(T_i - q).$$

By Lemma 5.3, the operator  $T_i - q : V_n^{\otimes d} \to V_n^{\otimes d}$  is self-adjoint, with respect to the bilinear form (,). Therefore  $\frac{V_n^{\otimes d}}{\sum_{i=1}^{d-1} \operatorname{Im}(T_i-q)}$  is dual to  $\bigcap_{i=1}^{d-1} \operatorname{Ker}(T_i - q)$ . In particular it also implies that  $(S_q^d)^{\sharp} \simeq \Gamma_q^d$  and  $(q_d)^{\sharp} = i_d$ .  $\Box$ 

## 6.2. Definition and properties of quantum Schur and Weyl functors

Let  $\lambda = (\lambda_1, ..., \lambda_s)$  be a partition. By convention our partitions have no zero parts, so  $\lambda_1 \geq \cdots \geq \lambda_s > 0$ . The size of  $\lambda$  is  $|\lambda| := \lambda_1 + \cdots + \lambda_s$  and the length of  $\lambda$  is  $\ell(\lambda) := s$ . We depict partitions using diagrams, e.g.  $(3, 2) = \Box$ . Let  $\lambda'$  denote the conjugate partition.

The canonical tableau of shape  $\lambda$  is the tableau with entries  $1, ..., |\lambda|$  in sequence along the rows. For example

$$\begin{array}{c|cc}1&2&3\\4&5\end{array}$$

is the canonical tableau of shape (3,2). Let  $\sigma_{\lambda} \in \mathfrak{S}_d$  be given by the column reading word of the canonical tableau. For instance, if  $\lambda = (3,2)$  then  $\sigma_{\lambda} = 14253$  (in one-line notation). Define the following quantum polynomial functors of degree d:

$$\begin{split} &\bigwedge_{q}^{\lambda} = \bigwedge_{q}^{\lambda_{1}} \otimes \cdots \otimes \bigwedge_{q}^{\lambda_{s}} \\ &S_{q}^{\lambda} = S_{q}^{\lambda_{1}} \otimes \cdots \otimes S_{q}^{\lambda_{s}} \\ &\Gamma_{q}^{\lambda} = \Gamma_{q}^{\lambda_{1}} \otimes \cdots \otimes \Gamma_{q}^{\lambda_{s}} \end{split}$$

and the following morphisms:

$$\begin{aligned} \alpha_{\lambda} &= \alpha_{\lambda_{1}} \otimes \alpha_{\lambda_{2}} \otimes \cdots \otimes \alpha_{\lambda_{s}} \\ i_{\lambda} &= i_{\lambda_{1}} \otimes i_{\lambda_{2}} \otimes \cdots \otimes i_{\lambda_{s}} \\ p_{\lambda} &= p_{\lambda_{1}} \otimes p_{\lambda_{2}} \otimes \cdots \otimes p_{\lambda_{s}} \\ q_{\lambda} &= q_{\lambda_{1}} \otimes q_{\lambda_{2}} \otimes \cdots \otimes q_{\lambda_{s}}. \end{aligned}$$

We define the **quantum Schur functor**  $S_{\lambda}$  as the image of the composition of the following morphisms

$$\bigwedge_{q}^{\lambda} \xrightarrow{\alpha_{\lambda}} \bigotimes^{d} \xrightarrow{T_{\sigma_{\lambda}}} \bigotimes^{d} \xrightarrow{q_{\lambda'}} S_{q}^{\lambda'}.$$

Define the **quantum Weyl functor**  $W_{\lambda}$  as the image of the composition of the following morphisms:

$$\Gamma_q^{\lambda} \xrightarrow{i_{\lambda}} \bigotimes^d \xrightarrow{T_{\sigma_{\lambda}}} \bigotimes^d \xrightarrow{p_{\lambda'}} \bigwedge_q^{\lambda'}.$$

For any partition  $\lambda$ ,  $S^{\lambda}$  and  $W_{\lambda}$  are well-defined objects in  $\mathcal{P}_q$ . This uses that for any n,  $S^{\lambda}(n)$  and  $W_{\lambda}(n)$  are free k-module of finite rank, which we have by the remarkable results of Hashimoto and Hayashi on the freeness of quantum Schur and Weyl modules [14, Theorem 6.19, Theorem 6.23].

**Theorem 6.5.** For any partition  $\lambda$ , we have a canonical isomorphism

$$W_{\lambda'} \simeq (S_{\lambda})^{\sharp}.$$

**Proof.** We first note that  $\sigma_{\lambda'} = (\sigma_{\lambda})^{-1}$ . Then the theorem follows from Propositions 5.5, 6.4.  $\Box$ 

Suppose that  $\ell(\lambda) \leq n$ . By work of Hashimoto and Hayashi  $S_{\lambda}(n)$  is the Schur module and  $W_{\lambda}(n)$  is the Weyl module of the *q*-Schur algebra  $S_q(n,n;d)$  (cf. Definition 6.7, Theorem 6.19, and Definition 6.21 [14]). Let  $L_{\lambda}$  be the socle of the functor  $S_{\lambda'}$ . Recall that this is the maximal semisimple subfunctor of  $S_{\lambda'}$ .

**Proposition 6.6.** The functors  $L_{\lambda}$ , where  $\lambda$  ranges over all partitions of d, form a complete set of representatives for the isomorphism classes of irreducible objects in  $\mathcal{P}_{a}^{d}$ .

**Proof.** By Theorem 4.7,  $\mathcal{P}_q^d \cong \text{mod}(S_q(n,n;d))$  for any  $n \geq d$ . To prove the statement it suffices to show that  $\{L_\lambda(n)\}$  form a complete set of representatives for irreducible  $S_q(n,n;d)$ -modules. This follows from Lemma 8.3 and Proposition 8.4 in [14].  $\Box$ 

#### 7. Invariant theory of quantum general linear groups

In this section, we assume k is algebraically closed and q is a generic element in k. Our aim is to show that the theory of quantum polynomial functors affords a streamlined derivation of the invariant theory of the quantum general linear groups  $\mathcal{O}_q(\mathrm{GL}_n)$ , with significantly simpler proofs. Essentially, the proofs are immediate consequences of the representability theorem (Theorem 4.7).

Recall that  $\mathcal{O}_q(\mathrm{GL}_n)$  is the localization of  $A_q(n,n)$  by the quantum determinant,

$$\det_q := \sum_{\sigma \mathfrak{S}_n} (-q^{-1})^{\ell(\sigma)} x_{1\sigma(1)} \cdots x_{n\sigma(n)}.$$

 $\mathcal{O}_q(\mathrm{GL}_n)$  is a Hopf algebra, and we denote its antipode by  $\iota$ . For more details a good source is Chapter 5 of [20].

Following Howe's approach to classical invariant theory (cf. [10]), we first prove a quantum analog of  $(GL_m, GL_n)$  duality. In the classical case Howe's proof is based on a geometric argument that the matrix space is spherical [10] (although one can give also combinatorial proofs using the Cauchy decomposition formula<sup>1</sup>). While this geometric argument fails in the quantum case, we show that Quantum  $(GL_m, GL_n)$  duality is a direct consequence of the Theorem 4.7. We then show that, as in the classical case, quantum analogs of the first fundamental theorem and Schur–Weyl duality follow from Quantum  $(GL_m, GL_n)$  duality.

By definition a representation of  $\mathcal{O}_q(\mathrm{GL}_n)$  is a right comodule V of  $\mathcal{O}_q(\mathrm{GL}_n)$ . A left module of the q-Schur algebra  $S_q(n,n;d)$  is naturally a representation of  $\mathcal{O}_q(\mathrm{GL}_n)$ . By analogy with the classical setting, any representation of  $\mathcal{O}_q(\mathrm{GL}_n)$  coming from  $S_q(n,n;d)$ is a polynomial representation of degree d.

By Theorem 6.6  $L_{\lambda}(n)$  is an irreducible representation  $\mathcal{O}_q(\mathrm{GL}_n)$ , and any irreducible representation of  $\mathcal{O}_q(\mathrm{GL}_n)$  is isomorphic to  $L_{\lambda}(n)$  for a unique  $\lambda$  such that  $\ell(\lambda) \leq n$ .

The comultiplication  $\Delta : A_q(\ell, n) \to A_q(\ell, m) \otimes A_q(m, n)$  induces actions of the quantum general linear group by left and right multiplication on quantum  $m \times n$  matrices:

$$\mu'_{L} : A_{q}(m, n) \to \mathcal{O}_{q}(\mathrm{GL}_{m}) \otimes A_{q}(m, n)$$
$$\mu_{R} : A_{q}(m, n) \to A_{q}(m, n) \otimes \mathcal{O}_{q}(\mathrm{GL}_{n})$$

These maps commute and preserve degree. We define

$$\mu_L := P \circ (\iota \otimes 1) \circ \mu'_L : A_q(m, n) \to A_q(m, n) \otimes \mathcal{O}_q(\mathrm{GL}_m),$$

where P is the flip map. Then using  $(\mu_L \otimes 1) \circ \mu_R$ , we regard  $A_q(m, n)$  as a representation of  $\mathcal{O}_q(\mathrm{GL}_m) \otimes \mathcal{O}_q(\mathrm{GL}_n)$ .

<sup>&</sup>lt;sup>1</sup> We thank the anonymous referee for pointing this out to us.

Given a representation V of  $\mathcal{O}_q(\mathrm{GL}_n)$  let  $V^*$  be the contragredient representation of V, i.e. twist the left coaction of  $\mathcal{O}_q(\mathrm{GL}_n)$  on the dual space  $V^*$  by the antipode  $\iota$ .

**Theorem 7.1** (Quantum (GL<sub>m</sub>, GL<sub>n</sub>) duality). As a representation of  $\mathcal{O}_q(GL_m) \otimes \mathcal{O}_q(GL_n)$  we have a multiplicity-free decomposition:

$$A_q(m,n)_d \cong \bigoplus_{\lambda} L_{\lambda}(m)^* \otimes L_{\lambda}(n),$$

where  $\lambda$  runs over all partitions of d such that  $\ell(\lambda) \leq \min(m, n)$ .

**Proof.** By Theorem 4.7 the category  $\mathcal{P}_q^d$  is equivalent to the category  $\mathsf{mod}(S_q(n, n; d))$ . Hence the category  $\mathcal{P}_q^d$  is semi-simple, and the simple objects are, up to equivalence, the functors  $L_\lambda$  where  $\lambda$  ranges over partitions of d. (Since q is generic  $L_\lambda \cong W_\lambda \cong S_{\lambda'}$ .) By Proposition 4.6 for any  $m \ge 0$  there exists a natural isomorphism  $\operatorname{Hom}_{\mathcal{P}_q}(\Gamma_q^{d,m}, L_\lambda) \simeq L_\lambda(m)$ . Moreover,  $L_\lambda(m) = 0$  if  $m > \ell(\lambda)$ . Hence we have the following decomposition

$$\Gamma_q^{d,m} \cong \bigoplus_{\lambda} L_{\lambda} \otimes \operatorname{Hom}_{\mathcal{P}_q^d}(L_{\lambda}, \Gamma_q^{d,m})$$
$$\cong \bigoplus_{\lambda} L_{\lambda} \otimes \operatorname{Hom}_{\mathcal{P}_q^d}(\Gamma_q^{d,m}, L_{\lambda})^*$$
$$\cong \bigoplus_{\lambda} L_{\lambda} \otimes L_{\lambda}(m)^*,$$

where the second isomorphism follows from the natural pairing

$$\operatorname{Hom}_{\mathcal{P}_q^d}(L_{\lambda}, \Gamma_q^{d,m}) \times \operatorname{Hom}_{\mathcal{P}_q^d}(\Gamma_q^{d,m}, L_{\lambda}) \to \operatorname{Hom}_{\mathcal{P}_q^d}(L_{\lambda}, L_{\lambda}) \simeq \Bbbk.$$

Evaluating both sides at n yields

$$\operatorname{Hom}_{\mathcal{H}_d}(V_m^{\otimes d}, V_n^{\otimes d}) \cong \bigoplus_{\lambda} L_{\lambda}(n) \otimes L_{\lambda}(m)^*.$$
(7.0.40)

This proves the theorem, since

$$A_q(m,n) \cong (S_q(n,m;d))^* \cong (\operatorname{Hom}_{\mathcal{H}_d}(V_n^{\otimes d}, V_m^{\otimes d}))^* \simeq \bigoplus_{\lambda} L_{\lambda}(m)^* \otimes L_{\lambda}(n). \quad \Box$$

In analogy with the classical setting, Quantum  $(GL_m, GL_n)$  duality is equivalent to quantum FFT and Jimbo–Schur–Weyl duality. We briefly mention these connections.

Given three numbers  $\ell, m, n$  define a representation of  $\mathcal{O}_q(\mathrm{GL}_m)$  on  $A_q(n, m) \otimes A_q(m, \ell)$  as follows:

$$\begin{array}{c} A_q(n,m) \otimes A_q(m,\ell) \xrightarrow{\mu_R \otimes \mu_L} A_q(n,m) \otimes \mathcal{O}_q(\mathrm{GL}_m) \otimes A_q(m,\ell) \otimes \mathcal{O}_q(\mathrm{GL}_m) \\ & \downarrow \\ A_q(n,m) \otimes A_q(m,\ell) \otimes \mathcal{O}_q(\mathrm{GL}_m) \end{array}$$

In the above diagram, the downward map is given by multiplication in  $\mathcal{O}_q(\mathrm{GL}_m)$ .

Recall that given a right comodule  $a: V \to V \otimes A$  of a Hopf algebra A, the space of A-invariants in V is a subspace of V

$$V^A := \{ v \in V | a(v) = v \otimes 1 \}$$

**Theorem 7.2** (Quantum FFT). For any  $\ell, m, n$  the image of the comultiplication

$$\Delta: A_q(n,\ell) \to A_q(n,m) \otimes A_q(m,\ell)$$

lies in the subspace of  $\mathcal{O}_q(\mathrm{GL}_m)$ -invariants, and, moreover, gives rise to a surjective map

$$A_q(n,\ell) \to (A_q(n,m) \otimes A_q(m,\ell))^{\mathcal{O}_q(\mathrm{GL}_m)}$$

**Proof.** First we note that for any representation V of  $\mathcal{O}_q(\mathrm{GL}_m)$ , by complete reducibility, we have  $(V^*)^{\mathcal{O}_q(\mathrm{GL}_m)} \simeq (V^{\mathcal{O}_q(\mathrm{GL}_m)})^*$ . Then taking duals, by Proposition 2.7, it suffices to show that the following map is injective:

$$(\operatorname{Hom}_{\mathcal{H}_d}(V_{\ell}^{\otimes d}, V_m^{\otimes d}) \otimes \operatorname{Hom}_{\mathcal{H}_d}(V_m^{\otimes d}, V_n^{\otimes d}))^{\mathcal{O}_q(\operatorname{GL}_m)} \to \operatorname{Hom}_{\mathcal{H}_d}(V_{\ell}^{\otimes d}, V_n^{\otimes d}), \quad (7.0.41)$$

where  $\mathcal{O}_q(\mathrm{GL}_m)$  acts diagonally on the left hand side. This follows immediately from  $(\mathcal{O}_q(\mathrm{GL}_m), \mathcal{O}_q(\mathrm{GL}_n))$  duality, since by Equation (7.0.40) the above map is precisely the inclusion

$$\bigoplus_{\ell(\lambda) \le \ell, m, n} L_{\lambda}(\ell)^* \otimes L_{\lambda}(n) \to \bigoplus_{\ell(\lambda) \le \ell, n} L_{\lambda}(\ell)^* \otimes L_{\lambda}(n). \quad \Box$$

Finally, consider tensor space  $V_m^{\otimes d}$ . As a representation of  $\mathcal{O}_q(\mathrm{GL}_m)$  we have a decomposition

$$V_m^{\otimes d} \cong \bigoplus_{\lambda} L_{\lambda}(m) \otimes M_{\lambda} \tag{7.0.42}$$

where the  $\lambda$  runs over all partitions of d, and  $M_{\lambda} = \operatorname{Hom}_{\mathcal{O}_q(\operatorname{GL}_m)}(L_{\lambda}(m), V_m^{\otimes d})$ . Notice that by the construction of  $L_{\lambda}$ , we have that  $L_{\lambda}(m) = 0$  if  $\ell(\lambda) > m$ . Hence the sum above is over all partitions  $\lambda$  of d such that  $\ell(\lambda) \leq m$ . Note also that  $M_{\lambda}$  are naturally  $\mathcal{H}_d$ -modules. **Theorem 7.3** (Jimbo–Schur–Weyl duality). Equation (7.0.42) is a multiplicity-free decomposition of  $V_m^{\otimes d}$  as an  $\mathcal{O}_q(\operatorname{GL}_m) \times \mathcal{H}_d$ -representation. In particular,  $M_\lambda$  are irreducible pairwise inequivalent  $\mathcal{H}_d$ -modules.

**Proof.** We will deduce this result from the quantum FFT. Indeed, applying Theorem 7.2 to the case  $n = m = \ell$ , it follows that for any partition  $\lambda$  of d such that  $\ell(\lambda) \leq m$ , the following map is injective:

$$\bigoplus_{\mu} \operatorname{Hom}_{\mathcal{H}_d}(M_{\lambda}, M_{\mu}) \otimes \operatorname{Hom}_{\mathcal{H}_d}(M_{\mu}, M_{\lambda}) \to \operatorname{Hom}_{\mathcal{H}_d}(M_{\lambda}, M_{\lambda}),$$

where  $\mu$  runs over all partition of d with  $\ell(\mu) \leq m$ . This implies that  $M_{\lambda}$  is irreducible as  $\mathcal{H}_d$ -module and for any  $\lambda \neq \mu$ ,  $M_{\lambda}$  and  $M_{\mu}$  are non-isomorphic, proving the result.  $\Box$ 

## Remark 7.4.

- 1. One can easily show that Jimbo–Schur–Weyl duality implies  $(\mathcal{O}_q(\mathrm{GL}_m), \mathcal{O}_q(\mathrm{GL}_m))$ duality using Proposition 2.7. This completes the chain of equivalences, and hence the three basic theorems of quantum invariant theory  $(\mathcal{O}_q(\mathrm{GL}_m), \mathcal{O}_q(\mathrm{GL}_m))$  duality, the quantum FFT, and Jimbo–Schur–Weyl duality are all equivalent, as in the classical case done by Howe [10].
- 2. Recall our standing assumption that q is generic and  $\Bbbk$  is algebraically closed. The approach taken here essentially uses only the fact that the functors  $\Gamma_q^{d,n}$  are projective generators for  $n \geq d$ , and this will work in any other setting of polynomial functors which has an analogous property, namely the classical and super cases [8,1]. Note that in the super-case, although we don't have semisimplicity of representations in general, the tensor powers of the standard representation of  $\mathfrak{gl}_{m|n}$  are semisimple [2] and so the methods here do carry over to the super case. Therefore this approach can be used to give a new and uniform development for the classical, quantum and super invariant theories of the general linear group.

#### 8. Obstructions to quantum plethysm

Composition of quantum polynomial functors, which would provide a sought-after theory of quantum plethysm, is absent from our theory. In this final section we discuss why this is the case, and further speculate on possible generalisations of our construction to allow for composition. For convenience, we assume k is a field.

First we recall how composition works in the classical setting of Section 3.1. Let  $F \in \mathcal{P}^d$  and  $G \in \mathcal{P}^e$ . Then  $F \circ G \in \mathcal{P}^{de}$  is given as follows: On objects  $F \circ G(V) = F(G(V))$  and for spaces V, W we define  $\operatorname{Hom}_{\Gamma^{de}\mathcal{V}}(V, W) \to \operatorname{Hom}(FG(V), FG(W))$  in steps. First consider

$$\operatorname{Hom}_{\Gamma^e \mathcal{V}}(V, W) \to \operatorname{Hom}(G(V), G(W)).$$

Apply the functor  $\Gamma^d$  to this linear map to obtain

$$\Gamma^{d}(\operatorname{Hom}_{\Gamma^{e}\mathcal{V}}(V,W)) \to \Gamma^{d}(\operatorname{Hom}(G(V),G(W))).$$

Note that for any space X we have  $\Gamma^{de}(X) \subset \Gamma^{d}(\Gamma^{e}(X))$ , which is compatible with the standard embedding  $\mathfrak{S}_{d} \times \mathfrak{S}_{e} \subset \mathfrak{S}_{de}$ . Therefore we have  $\operatorname{Hom}_{\Gamma^{de}\mathcal{V}}(V,W) \to \Gamma^{d}(\operatorname{Hom}(G(V),G(W)))$ , which we compose with

$$\Gamma^{d}(\operatorname{Hom}(G(V), G(W))) \to \operatorname{Hom}(FG(V), FG(W))$$

to obtain the desired map.

This construction does not generalize to the quantum setting for several reasons. We focus on the most basic one, namely that we can't make sense of F(G(n)) in our construction since G(n) is not an object in the quantum divided power category. Of course we really think of n as the standard Yang–Baxter space  $(V_n, R_n)$ , and so we should restate this problem by saying that G(n) is not a Yang–Baxter space, let alone a standard one. This suggests that we should enlarge the set of objects of the quantum divided power category.

More precisely, let  $\mathcal{Y}$  be the category of all Yang–Baxter spaces (how we define morphisms is not important for the purposes of this discussion), and let  $\mathcal{Y}_{st}$  be the subcategory of standard Yang–Baxter spaces. We would like an intermediate category  $\mathcal{Y}_{st} \subset \mathcal{C} \subset \mathcal{Y}$  to use as the objects of the quantum divided power category  $\Gamma^d \mathcal{C}$ . Then we would like representations  $F : \Gamma^d \mathcal{C} \to \mathcal{V}$  to satisfy the property that for  $V \in \mathcal{C}$  we have  $F(V) \in \mathcal{C}$ , allowing us to make sense of F(G(V)) for two such functors F, G.

Let's suppose such a category  $\mathcal{C}$  exists and try to determine some of its properties. Perhaps the most basic quantum polynomial functor we seek is the tensor product functor. It turns out that a notion of tensor product is relatively easy to construct. Indeed given a Yang-Baxter space  $(V, R) \in \mathcal{Y}$  and any d > 0 define  $w_d \in \mathfrak{S}_{2d}$  by

$$w_d(i) = \begin{cases} i+d \text{ if } i \leq d, \\ i-d \text{ if } i > d. \end{cases}$$

Then it's straight-forward to verify that  $T_{w_d}: V^{\otimes 2d} \to V^{\otimes 2d}$  is a Yang–Baxter operator and hence we can define  $(V, R)^{\otimes d} = (V^{\otimes d}, T_{w_d}) \in \mathcal{Y}$ .

Therefore we require that C contains, along with all the standard Yang–Baxter spaces, their tensor products  $(V_n^{\otimes d}, T_{w_d})$ . Note that this tensor product is consistent in the sense that  $(V_n^{\otimes d}, T_{w_d})^{\otimes e} = (V_n^{\otimes de}, T_{w_{de}})$ .

Next we would like to define analogs of symmetric and exterior powers. We will see that this becomes very subtle, and for this we focus on symmetric and exterior squares.

Classically we of course have  $\bigotimes^2 \cong S^2 \oplus \bigwedge^2$ . This decomposition is closely related to the fact that for any  $V \in \mathcal{V}$  the spectrum of the flip operator  $V \otimes V \to V \otimes V$  given by  $v \otimes w \mapsto w \otimes v$  has spectrum  $\pm 1$ , as long as dim $(V) \ge 2$ . In our quantum setting we also have  $\bigotimes^2 \cong S_q^2 \oplus \bigwedge_q^2$  since the spectrum of  $R_n$  is  $\{q, -q^{-1}\}$  for  $n \ge 2$ . The corresponding spectrum for Yang–Baxter spaces in C is much more complicated. Indeed, consider the following table, which we computed with the help of a computer:

YB space $V$	Spectrum of YB operator on $V\otimes V$
$V_2^{\otimes 2}$	$q^{-2} \to 1, -1 \to 3, -q^2 \to 3, q^2 \to 4, q^4 \to 5$
$V_3^{\otimes 2}$	$-q^{-2} \rightarrow 3, q^{-2} \rightarrow 9, -1 \rightarrow 18, -q^2 \rightarrow 15, q^2 \rightarrow 21, q^4 \rightarrow 15$
$V_4^{\otimes 2}$	$q^{-4} \to 1, \ -q^{-2} \to 15, \ q^{-2} \to 35, \ -1 \to 60, \ -q^2 \to 45, \ q^2 \to 65, \ q^4 \to 35$
$V_2^{\otimes 3}$	$-q^{-3} \to 1, \ q^{-1} \to 3, \ -q^2 \to 6, \ q^2 \to 6, \ -q^3 \to 8, \ q^3 \to 1, \ -q^5 \to 3$
	$q^5 \to 9, \ -q^6 \to 10, \ q^6 \to 10, \ q^9 \to 7$

In the right column, we use the notation "eigenvalue  $\rightarrow$  multiplicity", so for instance the Yang–Baxter operator on  $V_3^{\otimes 2} \otimes V_3^{\otimes 2}$  has eigenvalue  $-q^{-2}$  with multiplicity 3. We see that the spectrum of the Yang–Baxter operators on tensor squares of objects in  $\mathcal{C}$  does not necessarily stabilize as the dimension of the Yang–Baxter space gets big. (Although one might speculate that if d is fixed and we let  $n \rightarrow \infty$  then the spectrum of the Yang–Baxter operator of  $V_n^{\otimes d}$  does stabilize.)

This suggests that instead of just decomposing  $\bigotimes^2$  into a symmetric and exterior square, we should have an infinite decomposition

$$\bigotimes^2 = \bigoplus_{\pm,n\in\mathbb{Z}} F_{\pm,n},$$

where  $F_{\pm,n}: \Gamma^2 \mathcal{C} \to \mathcal{V}$  is given by  $F_{\pm,n}(V, R) = \pm q^n$ -eigenspace of R.

If true, a consequence is that in order for composition to be defined, for every  $(V, R) \in \mathcal{C}$  we must ensure that the Yang–Baxter spaces  $F_{\pm,n}(V, R)$  belong to  $\mathcal{C}$ . Hence also the tensor powers of  $F_{\pm,n}(V, R)$  must belong to  $\mathcal{C}$ , as well as the compositions  $F_{\pm,n} \circ F_{\pm,m}(V, R)$ , etc. It appears that the resulting theory, if it can be constructed, will be much wilder than the quantum polynomial functors considered here. (This is perhaps not surprising as the representation theory of the braid group is known to be extremely complicated.) One must study fundamentally different phenomenon, which are no doubt interesting but pose significant challenges. We hope the ideas put forth here are a significant first step in this story.

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